# Microbiome Emerging Concepts in Patients with Chronic Liver Disease



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# **KEYWORDS**

• Outcomes • Fecal transplant • Cirrhosis • Hepatic encephalopathy

# KEY POINTS

- The gut microbiome is a major research focus in chronic liver disease owing to alterations in gut–liver and gut–brain axes.
- Changes in microbiota structure and function across disease stages can be analyzed in differing samples using techniques that vary in depth of sequencing and cost.
- There are consistent microbiota functional changes (bile acids, endotoxin, short chain fatty acids) and composition changes as liver disease progresses and patients develop cirrhosis and complications.
- Alteration in the microbiota with therapies for hepatic encephalopathy, diet, periodontal therapy, and fecal transplant can help in selected patients with chronic liver disease.

# INTRODUCTION

Cirrhosis and liver cancer account for 3.5% of all deaths worldwide, and an estimated 50 million adults are affected with chronic liver disease.<sup>[1,](#page-22-0)[2](#page-22-1)</sup> In addition to mortality, chronic liver diseases carry a significant economic impact and low quality of life. $3$ 

# GUT MICROBIOME

It is first important to distinguish between the human microbiota and the microbiome. The microbiota is the overall collection of microbes within the body including bacteria, archaea, fungi, microbial eukaryotes, and viruses and phages.<sup>[4](#page-22-3)</sup> In total the microbiota consists of up to 100 trillion cells.<sup>[5](#page-22-4)</sup> The microbiome is a term for a specific collection of microbes and their genes that exist within a specific system in the body (like the gut). $6$  Although the gut microbiome has been studied and linked to many diseases, this review specifically focuses on its link to chronic liver

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disease. Specifically, the gut microbiome has been shown to influence nonalcoholic steatohepatitis, nonalcoholic fatty liver disease, alcoholic hepatitis, primary scle-rosing cholangitis, cirrhosis, and hepatocellular carcinoma (HCC).<sup>[6](#page-22-5)</sup> The healthy human gut microbiome contains an abundance of bacteria with only a small minority of nonbacterial microbes.<sup>[4](#page-22-3)</sup> Although there is considerable variation of gut microbiome composition between even healthy individuals, the majority of bacteria are members of the phyla Bacteroidetes and Firmicutes with the combined percentage of approximately 95%.<sup>[7](#page-22-6)</sup> Other phyla present at lower levels are Actinobacteria, Fusobacteria, Verrucomicrobia, and Proteobacteria, and facultative anaerobes 6. When functioning properly, the autochthonous taxa and nonautochthonous taxa are responsible for a wide variety of functions, including production of short chain fatty acids for gut barrier integrity and colonocyte nutrients, $<sup>8</sup>$  $<sup>8</sup>$  $<sup>8</sup>$  secondary bile acid</sup> synthesis, $9$  and protection against pathogens.<sup>[10](#page-22-9)</sup> Dysbiosis is the term used to describe the alteration of a patient's normal microbiome that can result in disadvantageous changes to physiologic functions. In dysbiosis, the balance in gut microdiversity changes as beneficial microbes (symbionts) decrease and harmful (pathobionts) increase. $6$  When dysbiosis occurs in cirrhosis, there is a propagation of the disease and an increase in complications.<sup>[8](#page-22-7)</sup>

# Microbiome Sample Collection for Analysis

There is no perfect answer to this question owing to differences in studies that vary in depth and collection practices. Considerations include feasibility, cost, and how the subsequent analysis of the sample will be performed. Stool is the most commonly collected and accessible material. The disadvantage with stool is that it does not capture all gut microbes, especially ones that adhere well to the mucosa and small intestine microbes. $11,12$  $11,12$  $11,12$  The typical protocol for stool sampling is to collect the whole stool, homogenize it as soon as possible, then flash freeze it, with an aliquot pre-served in 20% glycerol in Lysogeny broth for culturing.<sup>[4](#page-22-3)</sup> If RNA analysis is planned the sample should be placed in an RNA later solution for nucleic acid protection. Once collected the samples can be analyzed for bacterial RNA or DNA. There are a variety of microbiome analysis techniques depending on the goal of the study ([Table 1](#page-2-0)).

# Data Analysis

The choice for data comparison depends on the question that needs to be answered. Initially the raw DNA sequence data needs to be to organized into a table/chart showing how many of each species, gene, or strain is seen per sample. Analysis is then performed at the whole microbiome level and the individual taxa and genes level. $4$ Whole microbiome analysis uses alpha and beta diversity. Alpha diversity shows a number of different types of microbial taxa within a group.<sup>[18](#page-23-2)</sup> Beta diversity shows differences in diversity between groups. Individual taxa differences discriminant analysis effect size or by nonparametric tests. Tests of function are separated into direct and indirect testing. Indirect analysis shows gene expressions based on metagenomic data, whereas direct tests are functional correlates of microbial function (endotoxe-mia, secondary bile acid production, etc).<sup>[18](#page-23-2)</sup> It is important to remember that different methods provide different results, even with using the sample or raw DNA.<sup>[4](#page-22-3)</sup> Owing to this factor, there is not a large clinical role for these techniques at this time. Pathogen diagnosis should still rely on traditional cultures and assay (polymerase chain reaction vs antibody).[4](#page-22-3) Finally, these data are linked to relevant clinical variables in order for an analysis to occur.

# Table 1 Microbiome analysis techniques

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Abbreviations: PCR, polymerase chain reaction; qPCR, quantitative polymerase chain reaction; RT-PCR, reverse transcriptase polymerase chain reaction.

# LIVER DISEASES AND THE MICROBIOME

The gut, the intestinal microbiota, and the liver are uniquely matched to have a bidirectional relationship. The liver receives 75% of its blood supply via the portal vein from the intestines, and the liver releases bile acids into the biliary tract to the intestine[.19](#page-23-8) Major mechanisms in which the intestinal microbiota effects the liver include bile acid metabolism, intestinal permeability, chronic inflammation, immune system activation, short chain fatty acids, choline, and ethanol.<sup>[20](#page-23-9)</sup> The etiology of the dysbiosis associated with chronic liver disease remains unknown, but there are some working theories proposed. The first is that in chronic liver disease there is a decreased production of bile acids and thus less reaches the duodenum. This is important owing to the antimicrobial properties of bile acids. Bile acids have a detergent action, making them toxic to bacteria. $21$  Bile acids also have an effect on the intestinal mucosa, influencing the produc-tion of peptides critical for bacterial control.<sup>[22,](#page-23-11)[23](#page-23-12)</sup> These changes allow for an environment suspectable to the development of small bacterial intestinal overgrowth. This factor leads to an increased quantity of bacteria, functional bacteria changes, and an increased intestinal permeability.<sup>24</sup> Cirrhosis microbiome composition has shown a wide amount of study to study variability. In a typical dysbiosis pattern, potentially pathogenic bacteria (Enterobacteriaceae Veillonellaceae, and Streptococcaceae) increase and beneficial bacteria (Proteobacteria and Fusobacteria) decrease.<sup>25</sup> The cirrhosis dysbiosis ratio tool was designed to estimate dysbiosis in cirrhotics. $8$  This study showed a worsening in cirrhosis dysbiosis ratio in the setting of disease progression. There has been significant work done to increase the understanding of the gut microbiome in relation to specific etiologies of liver disease ([Table 2](#page-6-0)).

# CIRRHOSIS COMPLICATIONS AND HOW MICROBES MAY BE RELATED Hepatic Encephalopathy

The gut microbiota most likely has a strong link to the pathophysiology of hepatic encephalopathy (HE), specifically endotoxemia.[53](#page-25-0) Intestinal microbiota studies have shown a decrease in *Lachnospiraceae* and *Ruminococcacae* and an increase *in Enterobacteriaceae*, *Streptococcaceae*, and *Porphyromonadaceae* associated with HE. Specifically, *Lachnospiraceae* and *Ruminococcaceae* negatively correlated, whereas *Enterobactericeae* positively correlated with ammonia-associated astrocyte swelling.[54](#page-25-1) White matter changes on brain MRI were positively associated with *Porphyromonadaceae.*[54](#page-25-1) Another study showed a positive correlation with cognitive impairment with *Alcaligenaceae* and *Porphyromonadaceae*, versus *Prevotella*, which was linked to improvement in cognition and decreased inflammation.<sup>[53](#page-25-0)</sup> Studies have shown that evaluation of the intestinal microbiota can help to predict overt HE development in cirrhotic inpatients.<sup>[55](#page-25-2)</sup> Specifically, this patient population has higher endoxemia, lower cirrhosis dysbiosis ratios, and increased levels of *Enterobacteriaceae*. [55](#page-25-2) This study initially looked at changes on admission for cirrhotic patients, whereas another study also showed that patients with overt HE have distinct changes in their microbiota during hospital stays, and these changes have the ability to predict HE recurrence.<sup>[56](#page-25-3)</sup> There is an increased percentage of urease active bacteria in patients with cirrhosis, specifically *Streptococcaceae*. [57](#page-25-4) These changes are thought to lead to increased ammonia production and contribute to the development of HE. [58,](#page-25-5)[59](#page-25-6)

# Hepatocellular Carcinoma

There has been growing evidence that dysbiosis and intestinal microbiota changes impact the development of HCC by increasing steatosis, oxidative stress, and inflam-mation.<sup>[60](#page-25-7)</sup> Multiple studies have shown that there are intestinal microbiota changes

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*Abbreviations:* ALD, alcoholic liver disease; DM, diabetes mellitus; NAFLD, nonalcoholic fatty liver disease; PBC, primary biliary sclerosis; UDCA, ursodeocycholic<br>acid.

between cirrhotic patients and patients who develop HCC.<sup>[61–63](#page-25-11)</sup> A recent study looked to microbial diversity as a possible noninvasive biomarker for HCC. $62$  This study showed an increase in *Actinobacteria* and a decrease in *Verrucomicrobia*. In looking specifically at cirrhotic patients with nonalcoholic steatohepatitis with HCC, increased levels of *Bacteroides* and *Ruminococcaceae* and decreased levels of *Akkermansia* and *Bifidobacterium* were seen in comparison with cirrhotics who did not develop HCC.<sup>61</sup> Correlations with calprotectin concentrations and systemic inflammation were also seen in tandem with these microbiome changes.<sup>[61](#page-25-11)</sup> When looking specifically at hepatitis B virus–related HCC, these patients have increased levels of proinflammatory bacteria, which was thought to result in reduced levels of anti-inflammatory shortchain fatty acids. $64$  There remains a lot of questions in this area especially concerning gut translocation of specific bacteria and the role of toll-like receptors (especially tolllike receptor 4) in HCC pathogenesis.  $65$ 

# Spontaneous Bacterial Peritonitis

It is logical to assume that dysbiosis would be linked to spontaneous bacterial peritonitis in the context of all the known data concerning increased gut permeability and translocation. In patients with ascites, their serum microbiome showed higher levels of lipopolysaccharide binding protein (a biomarker for translocation). This finding was associated with a higher abundance of Clostridiales and an unknown genus belonging to the Cyanobacteria phylum.<sup>[66](#page-25-15)</sup> These patients may have a more significant deterioration of their intestinal barrier integrity and increase rates of translocation, placing them more at risk for development of spontaneous bacterial peritonitis. In cirrhotics, there is an increase in the gram-negative taxa, specifically components of Enterobacteriaceae (the major causative organisms in the pathogenesis of spontaneous bacterial peritonitis).[67](#page-25-16)

# TREATMENTS BASED ON THE MICROBIOTA

Numerous strategies have been developed to modulate the gut microbiome. They can be delineated by lifestyle modifications versus clinical interventions. Lifestyle modifications include nutritional intervention and modification, caloric restriction, and exercise. Clinical interventions include fecal microbiota transfer, antibiotics, prebiotics, probiot-ics, pharmabiotics, laxatives, and bile acid/fibroblast growth factor analogues.<sup>[68](#page-25-17)</sup>

# **Antibiotics**

Any antibiotic that is oral or undergoes biliary excretion and enterohepatic circulation has the capability to impact the gut microbiota. $68$  The obvious concern is for elimination of beneficial phyla and the expansion of harmful phyla, contributing to dysbiosis. This process can lead to antibiotic resistance, *Clostridium difficile* infection, small bowel bacterial overgrowth, and fungal overgrowth. Antibiotics have also been shown to both positively and negatively impact microbiota factors including inflammation, metabolism, and tumorigenesis.<sup>69-71</sup>

Owing to the harmful microbiome effects of broad-spectrum antibiotics there has been a push for more narrow-spectrum treatments which treat the target pathogen but allow the commensals unharmed.<sup>[72](#page-26-0)</sup> Quorum sensing inhibition<sup>[73](#page-26-1)</sup> and antitoxin drugs<sup>74</sup> offer promise, but there have been no significant studies looking at the use of these drugs in chronic liver disease. For this limited review, we only focus on trials in which agents that influence gut microbiota with analyses of gut microbiota compo-sition before and after therapy ([Table 3](#page-10-0)). Several trials that only studied microbial interventions without testing for microbiota composition were not included.

#### <span id="page-10-0"></span>Table 3 Microbiota altering therapeutic trial in chronic liver disease



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Abbreviations: ALD, alcoholic liver disease; ALP, alkaline phosphatase; ALT, alanine aminotransferase; aminotransferase; BID, 2 times per day; ETV, entecavir; FMT, fecal microbiota transplantation; GGT, gamma glutamyl transferase; HBeAg, hepatitis B virus <sup>e</sup> antigen; HBV, hepatitis B virus; HE, hepatic encephalopathy; IBD, inflammatory bowel disease; IV, intravenous; LDH, lactate dehydrogenase; MELD, Model for End Stage Liver Disease; MHE, minimal hepatic encephalopathy; OHE, overt hepatic encephalopathy; PSC, primary sclerosing cholangitis; SAH, subarachnoid hemorrhage; SOC, standard of care; TDF, tenofovir; TID, 3 times per day.

# **Probiotics**

Probiotics are defined as live microorganisms that, when given in the correct dosing, confer a health benefit on the host.<sup>[75](#page-26-12)</sup> Probiotics have been studied in a wide variety of human diseases as a way to modulate the gut microbiota. There has been a growing body of evidence for the use of probiotics in the treatment of chronic liver disease (see [Table 3](#page-10-0)).

# **Prebiotics**

Prebiotics consistent of nondigestive food ingredients that are fermented in the gut, the largest subgroup being prebiotic fibers, which are usually nondigestible carbohydrates. They then can modulate the microbiome in beneficial ways to the host. It has been shown that prebiotics can modify gut barrier integrity and endotoxin transloca-tion.<sup>[79](#page-26-13)</sup> Prebiotics have been showed to be able to stimulate bacterial production of short chain fatty acids, stimulate growth of *Bifidobacteria* and *Lactobacilli*, and provide additional pathogen protection by lowering the luminal  $pH.<sup>80</sup>$  $pH.<sup>80</sup>$  $pH.<sup>80</sup>$  Although there have been numerous studies looking at the use of prebiotics in chronic liver disease, there have been no definitive studies that meet our criteria (human, adult, pretreatment and post-treatment microbiome analysis). There are some ongoing clinical trials and promising rodent studies, however, that show encouraging treatment with prebiotics, including pectin.

# Synbiotics

Synbiotics are combinations of prebiotics and probiotics, used to gain the benefit of both. A wave of new studies has decided to use this strategy in the hopes of maxi-mizing the benefit of both interventions (see [Table 3](#page-10-0)).

# Diet

The studies looking at diet for possible microbiota therapy in chronic liver disease are relatively new and have looked at how different cultural diets impact microbial diver-sity.<sup>[83](#page-26-15)</sup> There has been interest to see how animal fat and protein intake impacts the microbiota and impactions compensated and compensated cirrhotic patient<sup>[84](#page-26-16)</sup> (see [Table 3](#page-10-0)). As more information is gathered in this area, hopefully new dietary guidelines can be generated for cirrhotic patients.

# Periodontal therapy

Periodontitis leads to destruction of tooth-supporting structures through inflammation and a dysregulation of the immune response to a dysbiotic biofilm. $85$  There is concern that a prolonged inflammatory response may lead to systemic complications. This possible therapeutic target has been investigated in cirrhotic patients (see [Table 3](#page-10-0)).

# Fecal/Intestinal Microbiota Transplantation

Although there is robust literature for the use of fecal microbiota transplantation for treatment of refractory *C difficile* infection, its use in chronic liver disease is relatively new. One major difference between these 2 illness groups is that because the microbiome has been destroyed by antibiotics in refractory *C difficile* infection, normalization can often be obtained after a single inoculation and with a small dose of donor material.<sup>[90](#page-27-12)</sup> The etiology of liver disease-associated intestinal microbiota is much more complex. It thus makes attempts at normalization more difficult and there remains a significant amount of questions surrounding what the target microbiota composition and functionality should be in chronic liver disease overall and for individual disease etiologies. It is unclear what the optimal treatment regiments are, including

<span id="page-22-12"></span><span id="page-22-11"></span><span id="page-22-10"></span>the length of treatment, amount of material, and identification of treatment end-points.<sup>[87](#page-26-18)</sup> fecal microbiota transplantation has been studied in a wide variety of chronic liver disease patients (see [Table 3](#page-10-0)).

# Hepatic Encephalopathy and Minimal Hepatic Encephalopathy

Although lactulose and rifaximin are mainstays in the treatment of HE and minimal HE, there remains poor understanding of their underlying mechanisms in the disease process. Numerous studies have looked at better understanding HE pathophysiology and how these treatments impact the microbiome (see [Table 3](#page-10-0)).

# SUMMARY

Gut microbiota analysis and interpretation is now a major part of clinical and translational research in chronic diseases, including liver disease and cirrhosis. There are specific areas in liver disease where gut microbiota composition and functional changes can be cost effective,  $100$  but further work needs to be done to translate these changes into clinical practice.

# **DISCLOSURE**

None for B. Reuter, JSB's institution received research grants from Salix Pharmaceuticals and he has served on advisory boards for Norgine and Merz Pharmaceuticals.

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