

# Relationships between Physiologic and Neuropsychologic Functioning after Fontan

Kelly R. Wolfe, PhD<sup>1</sup>, Deborah R. Liptzin, MD<sup>2</sup>, Dania Brigham, MD<sup>3</sup>, Sarah L. Kelly, PsyD<sup>4</sup>, Carey Rafferty, RN<sup>4</sup>, Megan Albertz, MD<sup>5</sup>, Adel K. Younoszai, MD<sup>4</sup>, and Michael V. Di Maria, MD<sup>4</sup>

**Objective** To investigate potential relationships between neuropsychologic functioning and cardiac, gastroenterologic/hepatologic, and pulmonary complications in the single ventricle heart disease (SVHD) post-Fontan population.

**Study design** Following the initiation of a Fontan Multidisciplinary Clinic, patients with SVHD were evaluated systematically according to a clinical care pathway, and data from multiple subspecialty evaluations were collected prospectively from 2016 to 2019. Biomarkers of cardiology, pulmonary, and hepatology/gastroenterology functioning were abstracted, along with neuropsychologic testing results. Bivariate correlations and regression analyses examined cross-sectional relationships between physiologic predictors and neuropsychologic outcomes. **Results** The sample included a cohort of 68 youth with SVHD age 3-19 years, after Fontan palliation. Sleep-disordered breathing was related to poorer visual-motor integration skills (r = -0.33; P < .05) and marginally related to poorer executive functioning (r = -0.33; P = .05). Lower arterial blood oxygen content was related to poorer ex-

to poorer executive functioning (r=-0.33; P=.05). Lower arterial blood oxygen content was related to poorer executive functioning (r=.45; P<.05). Greater atrioventricular valve regurgitation was related to lower parent-rated adaptive functioning ( $\rho=-0.34$ ; P<.01). These results were maintained in regression analyses controlling for history of stroke and/or seizures.

**Conclusions** We demonstrated associations between neuropsychologic functioning and potentially modifiable aspects of physiologic functioning in a prospectively evaluated cohort of patients with SVHD with Fontan physiology. Our findings emphasize the importance of multidisciplinary screening and care after a Fontan procedure and suggest avenues for intervention that may improve patient outcomes and quality of life. (*J Pediatr* 2020;227:239-46).

ingle ventricle heart disease (SVHD) affects approximately 9 in 100 000 live births worldwide.<sup>1</sup> Palliative surgical advances, such as the Fontan procedure, have improved life expectancy, which has been accompanied by an increase in morbidities across various organ systems as a result of "downstream" consequences of the Fontan circulation.<sup>2-5</sup> Although the success of a single ventricle clinical program has historically been defined by a low short-term mortality rate, outcomes are now being redefined in the framework of both quantity and quality of life. Children with Fontan physiology are at risk for ventricular dysfunction and arrhythmias, hepatic fibrosis and portal hypertension, protein-losing enteropathy, sleep-disordered breathing, and neuropsychologic impairments.<sup>6-10</sup> These Fontan-related comorbidities confer increased risk for mortality and poorer quality of life.<sup>11-13</sup> However, relationships between physiologic and neuropsychologic outcomes have not been explored. Understanding any potential links between sequelae could help clinicians to offer more appropriate anticipatory guidance to families and recognize constellations of symptoms more quickly, which could result in more timely intervention and optimized long-term outcomes.

The general profile in SVHD has been described to include an IQ approximately 1 SD below the general population mean and particular impairments in executive functions and visual-spatial/visual-motor skills.<sup>6,14-16</sup> These cognitive symptoms are thought to contribute to academic learning difficulties and underemployment and reduced independence in adulthood.<sup>17,18</sup> The underlying neural pathophysiology for neuropsychologic impairments in SVHD includes reduced brain growth and slowed neuronal maturation starting in the third trimester of gestation, increased risk for punctate foci of brain microinjury related to cardiopulmonary bypass, and a higher base rate of psychological comor.

related to cardiopulmonary bypass, and a higher base rate of neurological comorbidities such as stroke and seizures. <sup>19-21</sup>

Previous research exploring the etiology of neurological injury and concomitant neuropsychologic impairments in complex congenital heart disease (CHD), including SVHD, has mostly focused on risk factors around the time of the first

AVVR Atrioventricular valve regurgitation CHD Congenital heart disease

MDC Fontan Multidisciplinary Clinic SVHD Single ventricle heart disease From the <sup>1</sup>Section of Neurology, <sup>2</sup>Section of Pulmonology, <sup>3</sup>Section of Gastroenterology, <sup>4</sup>Section of Cardiology, Department of Pediatrics, and the <sup>5</sup>Section of Anesthesiology, University of Colorado School of Medicine, Aurora, CO

The authors declare no conflicts of interest.

Portions of this study were presented at the 8th Annual Scientific Sessions of the Cardiac Neurodevelopmental Outcome Collaborative (CNOC), October 11-13, 2019, Toronto, ON.

0022-3476/\$ - see front matter. © 2020 Elsevier Inc. All rights reserved https://doi.org/10.1016/j.jpeds.2020.07.043

palliative surgery as predictors for early developmental delays.<sup>22-29</sup> Less is known about later, potentially modifiable risk factors after Fontan or predictors for long-term neuropsychologic functioning across domains of cognition. <sup>15,20,30</sup> We hypothesized that the following aspects of physiologic functioning would be related to poorer neuropsychologic functioning: higher atrioventricular valve regurgitation (AVVR), higher Fontan pressures, longer Fontan length of stay, higher estimates of hepatic fibrosis, diagnosis of protein-losing enteropathy, diagnosis of sleep-disordered breathing, and lower arterial blood oxygen content. We also sought to examine whether these relationships were unique predictors above and beyond neurologic complications, including a history of stroke and/or seizure.

## **Methods**

# **Study Design**

In 2015, our quaternary care center created a Fontan Multidisciplinary Clinic (MDC) to increase access for patients with SVHD to subspecialty care, offer a standardized model of multiorgan surveillance and testing, and increase patient engagement with the medical team and other SVHD families. Proximal to each patient's MDC visit, he or she completed some or all of the following tests according to a prospectively applied, previously published clinical care pathway for ages 4 years to adulthood.<sup>31</sup> Recommended testing at various, standardized, intervals included bloodwork, echocardiogram and pulmonary function testing annually, liver ultrasound examination with elastography and cardiopulmonary exercise testing every 2 years starting at 8 years of age, cardiac catheterization at 10 years of age, and neuropsychologic assessment every 3 years. Referrals for a formal sleep study were placed by a pediatric pulmonologist when clinically indicated.

Data from the MDC visits and associated subspecialty testing for this cross-sectional study were collected prospectively, from 2016 to 2019, with Colorado Multiple Institution Review Board approval, including a waiver of informed consent. Inclusion criteria for the present study were status post Fontan Interdisciplinary evaluation in the MDC and completion of a neuropsychologic assessment between the ages of 3 and 18 years of age. Exclusion criteria were an identified genetic syndrome, a non-English-speaking patient (because this would introduce additional variability to the neuropsychologic testing results) and status post heart transplantation (ie, failed Fontan). Parents of Fontan patients were involved in the design of the MDC, but were not directly involved in the conduct, reporting, or dissemination plans of this research.

### **Data Acquisition**

Demographic and Physiologic Variables of Interest. Demographic and physiologic information was abstracted from the clinical database. Physiologic predictors were chosen a priori by physicians in each subspecialty with expertise in the SVHD population, based on hypothesized relation-

ships with neuropsychologic functioning. Cardiac predictors included Fontan operation length of stay and data obtained from post-Fontan cardiac catheterization and echocardiogram proximal to neuropsychologic testing including Fontan pressures and degree of AVVR (as rated by the cardiologist: none/trivial, mild; moderate and above per published guidelines<sup>32</sup>). Specifically, we only included data from cardiac catheterizations in our analyses if the catheterization occurred less than 36 months from the time of the participant's neuropsychologic evaluation. Pulmonary predictors included arterial blood oxygen content at the same post-Fontan cardiac catheterization and presence of sleepdisordered breathing (yes/no) as noted on a formal sleep study. Hepatologic/gastroenterological predictors included degree of liver fibrosis measured via liver ultrasound examination and elastography and presence of protein-losing enteropathy (yes/no). History of stroke and history of seizure were obtained from the medical record for follow-up analyses, because these risk factors are known for poorer neuropsychologic outcomes.<sup>25</sup>

Neuropsychologic Variables of Interest. Neuropsychologic testing data were also abstracted from the clinical database. Neuropsychologic outcomes in several major cognitive domains were chosen based on clinical relevance and literature identifying these risks in SVHD, and specific measures were selected based on literature demonstrating their sensitivity to neuropsychologic impairment in pediatric CHD.<sup>6</sup> Overall intellectual functioning was measured with the Full-Scale IQ score from the age-appropriate Wechsler Intelligence Scale (Wechsler Intelligence Scale for Children, 5th edition, the Wechsler Adult Intelligence Scale, 4th edition, or the Wechsler Preschool and Primary Scales of Intelligence, 4th edition). 33-35 Overall parent-rated adaptive functioning was obtained from the General Adaptive Composite score from the Adaptive Behavior Assessment System, 3rd edition.<sup>36</sup> Adaptive functioning is a measure of a child's day-to-day functioning; it is distinct from direct neuropsychologic testing in that it assesses what a child usually does in her daily routine rather than her ability in an optimized testing setting. Visual-motor integration (ie, copying line drawings) was measured with the Beery-Buktenica Test of Visual-Motor Integration.<sup>37</sup> Attention was measured using the Hit Reaction Time Inter Stimulus Interval from the age-appropriate version of the Conners Continuous Processing Test (3rd edition<sup>38</sup> or Kiddie version, 2nd edition<sup>39</sup>), a computerized task assessing sustained attention and responding. Executive functioning, an umbrella term for a set of cognitive skills that are involved in planning, problem-solving, and self-monitoring, was measured with the Total Moves score from the Tower of London-Drexel, 40 a task assessing spatial planning and problem-solving. Reading and math achievement were measured with the Word Reading and Numerical Operations subtests, respectively, of the Wechsler Individual Achievement Test, 3rd edition. 41 All scores were standardized according to agebased normative means specific to each test.

December 2020 ORIGINAL ARTICLES

#### **Statistical Analyses**

Continuous data were examined for normality using the Shapiro-Wilk test. Missing data were examined for bias. Because missing data were due to either differences by age in recommended testing on the clinical care pathway (eg, very young children did not have routine liver fibrosis testing) or restricted age ranges on neuropsychologic tests (eg, the executive function tests are used starting at age 7 years per test manual guidelines), pairwise deletion was used for analyses.<sup>31</sup> Despite our care pathway recommending catheterization and liver elastography prospectively for all patients at certain ages, we wanted to evaluate for any potential selection bias. As such, we used independent sample t tests to determine whether there were any differences in neuropsychologic functioning between participants who had undergone cardiac catheterization and those who had not, as well as for any differences between participants who had undergone liver elastography, and those who had not.

Bivariate Pearson correlations were obtained to investigate relationships between neuropsychologic outcomes and continuous or dichotomous medical predictors. Spearman correlations were used to evaluate relationships between neuropsychologic variables and ordinal-level data (ie, degree of AVVR).

Statistically significant correlations were followed by hierarchical regression analyses using the general linear model to evaluate the unique predictive usefulness of the physiologic variables, controlling for clinical history of stroke or seizure. In these models, history of stroke and history of seizure were entered in the first step of the regression analysis, and physiologic predictors of interest were entered in the second step. A 2-tailed alpha value of *P* of less than .05 was used for all hypothesis testing. Statistical analyses were performed using SPSS version 26 (IBM, Armonk, New York).<sup>28</sup>

A priori power calculations using a  $\alpha$  of 0.05 and a  $\beta$  of 0.20 indicated adequate power for detecting medium to large effect sizes ( $d \ge 0.3$ ), but that our sample may have been underpowered to detect small effects (d < 0.3). We ultimately decided against using a Bonferroni correction because of the reduced risk of committing a type I error given our decreased statistical power (common when studying clinical populations), and our substantial associated increased risk for making type II errors, to which our analyses were already vulnerable given the sample size.

Post hoc analyses using partial bivariate Pearson correlations were conducted to assess for possible residual confounders including socioeconomic status (using maternal education as a proxy), time between Fontan operation and neuropsychologic evaluation, and time between Fontan operation and cardiac catheterization for correlations involving physiologic variables obtained from catheterization.

# **Results**

The final sample for data analysis included 68 youth with SVHD, status post Fontan, age 3-19 years (**Table I**). Across

neuropsychologic tests of overall IQ, adaptive functioning, visual-motor integration, attention, executive functions, reading, and math, the overall sample's mean scores ranged from 0.5 to 1.0 SD below population means. Forty-four participants (65%) had cardiac catheterizations within 2.5 years of their neuropsychologic evaluation; there were no group differences on any of the neuropsychologic measures between children who had and had not had a cardiac catheterization within this timeframe (all P > .05). Twenty-eight patients had liver ultrasound elastography data available, and there were no group differences on any of the neuropsychologic measures between children who had and had not completed liver elastography (all P > .05).

Relationships between theoretically identified aspects of physiologic and neuropsychologic functioning are presented in **Table II** and the **Figure**. Higher arterial blood oxygen content at the most recent post-Fontan cardiac catheterization was associated with better executive functioning (r = 0.45; P = .03). Presence of sleep-disordered breathing was associated with poorer visual-motor integration (r = -0.33; P = .01). and marginally associated with poorer executive functioning (r = -0.33; P = .05). Greater degree of AVVR was associated with lower parent-rated adaptive functioning ( $\rho = -0.34$ ; P = .009).

These relationships with executive functioning and visualmotor integration were each shown to account for unique variance in follow-up hierarchical linear regression analyses controlling for patient history of stroke and/or seizure (Table III). Neither stroke nor seizure history was related to executive functioning, visual-motor integration, or adaptive functioning when entered into the model alone. Arterial blood oxygen content and sleep-disordered breathing were related to executive functioning when included together in the second step of the regression analysis, indicating the unique predictive usefulness of each variable, above and beyond any variance explained by history of stroke or seizure. A history of stroke was strongly related to lower adaptive functioning, and in our sample, history of stroke was also related to greater AVVR  $(\rho = 0.31; P = .02)$ ; as a result, the relationship between adaptive functioning and AVVR became no longer statistically significant after accounting for variance explained by clinical history of stroke and/or seizure.

Fontan operation length of stay, Fontan pressures, liver elastography, and protein-losing enteropathy were not related to neuropsychologic variables. IQ, visual attention, word reading, and math calculation skills were not related to physiologic variables. A post hoc analysis to evaluate the potential residual confounders revealed no changes in the significance or nonsignificance of the results in **Table II** when controlling for maternal education level or time between Fontan operation and neuropsychologic evaluation for all comparisons, and time between Fontan operation and cardiac catheterization for correlations involving physiologic variables obtained via catheterization.

Variables	No. (%) or mean $\pm$ SD (range)	No. reporting
Sex (female)	34 (50)	68
Age (years)	$9.55 \pm 4.31  (3.08 \text{-} 19.34)$	68
Race		68
African American	2 (3)	
Asian	3 (4)	
Multiracial	3 (4)	
Caucasian	60 (88)	
Ethnicity	()	68
Hispanic	17 (25)	
Non-Hispanic	51 (75)	
Maternal education (years)	$13.71 \pm 2.45 (7-18)$	68
HLHS diagnosis	28 (41)	68
History of clinical stroke	8 (12)	68
History of clinical seizures	4 (6)	68
Fontan length of stay (days)	$14.04 \pm 10.46$ (5-49)	41
AVVR	11.01 = 10.10 (0 10)	68
None/trivial	38 (56)	00
Mild	21 (31)	
Moderate and above	9 (13)	
Fontan pressures (mm Hg)	$12.34 \pm 2.17$ (9-18)	44
Sleep-disordered breathing	10 (15)	68
Arterial O <sub>2</sub> content (mL O <sub>2</sub> /dL)	$17.82 \pm 2.01 (12.15-21.36)$	44
Liver elastography (kPa)	$8.21 \pm 5.80  (1.24-25.50)$	28
Protein-losing enteropathy	7 (10)	68
Time between catheterization and neuropsychologic testing (months)	$3.02 \pm 14.06  (0-27)$	44
Time between Fontan operation and catheterization (months)	66.54 ± 53.58 (0-183)	48
Time between Fontan operation and neuropsychologic testing (months)	$76.54 \pm 50.66 (2-194)$	68
Wechsler Full-Scale IQ SS	$90.02 \pm 14.98 (55-122)$	66
ABAS-3 Global Adaptive Composite SS	$87.86 \pm 12.19 (56-120)$	58
Beery Visual-Motor Integration SS	$88.68 \pm 10.73 (63-114)$	60
CPT Hit Reaction Time ISI T-score	$57.33 \pm 13.19 (39-90)$	41
Tower of London Total Moves SS	$84.00 \pm 16.55$ (60-122)	34
WIAT-III Word Reading SS	$93.23 \pm 19.05 (55-138)$	41
WIAT-III Numerical Operations SS	$95.25 \pm 19.05 (33-136)$ $85.00 \pm 16.87 (57-120)$	41

ABAS, Adaptive Behavior Assessment System, 3rd edition; z-score distribution has a mean of 0 and a SD of 1 and higher scores indicate better performance); CPT, Connors Continuous Processing Test, (3rd edition or Kiddie version, 2nd edition; T-score distribution has a mean of 50 and SD of 10, and higher scores indicate worse performance); CVLT, California Verbal Learning Test (Children's version, 2nd or 3rd edition); HLHS, hypoplastic left heart syndrome; SS, standard score, which has a normative mean of 100 and SD of 15 and higher scores indicate better performance; WIAT-III, Wechsler Individual Achievement Test, 3rd edition.

Wechsler tests include Wechsler Intelligence Scale for Children (WISC, 5th edition), Wechsler Adult Intelligence Scale (WAIS, 4th edition), or the Wechsler Preschool and Primary Scales of Intelligence (WPPSI, 4th edition).

Sample n indicates number of subjects for which the information was available.

Table II. Relationships between physiologic and neuropsychologic functioning									
Variables	Wechsler Full- Scale IQ SS	ABAS-3 Global adaptive SS	Beery visual-motor integration SS	CPT Hit reaction time ISI T-score	Tower of London total moves SS	WIAT-III word reading SS	WIAT-III numerical operations SS		
Fontan length of stay	r = -0.11	r = -0.16	r = -0.00	r = 0.26	r = -0.08	r = -0.03	r = -0.32		
AVVR	$\rho = -0.10$	$\rho = -0.34^*$	$\rho = -0.05$	$\rho = 0.00$	$\rho = -0.19$	$\rho = 0.12$	$\rho = -0.04$		
Fontan pressures	r = -0.06	r = -0.01	r = 0.09	r = -0.10	r = -0.05	r = -0.19	r = -0.03		
Sleep disordered breathing	r = -0.16	r = -0.09	$r = -0.33^{\dagger}$	r = -0.19	$r = -0.33^{\ddagger}$	r = -0.11	r = 0.04		
Arterial blood 0 <sub>2</sub>	r = -0.20	r = -0.18	r = -0.20	r = -0.28	$r = 0.45^{\dagger}$	r = -0.21	r = 0.02		
Liver elastography	r = -0.22	r = -0.12	r = -0.15	r = 0.00	r = 0.05	r = -0.11	r = -0.16		
Protein-losing enteropathy	r = -0.02	r = -0.14	r = 0.06	r = -0.06	<i>r</i> = 0.13	r = -0.13	r = -0.02		

r, Pearson bivariate correlation coefficient;  $\rho$ , Spearman rho correlation coefficient; VMI, visual-motor integration.

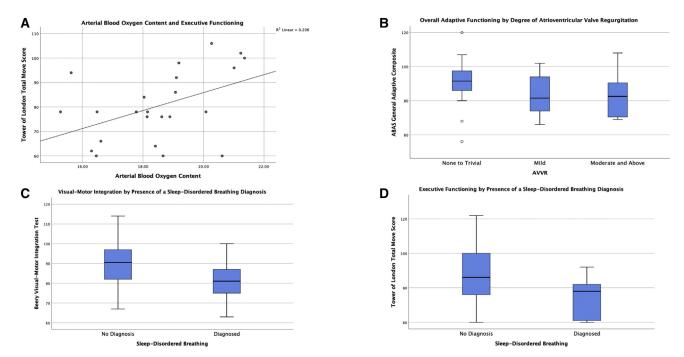
Fontan length of stay is measured in days. AVVR is coded by a cardiologist as: 0 = none/trivial; 1 = mild; 2 = moderate and above. Sleep-disordered breathing and protein-losing enteropathy are coded as yes/no. Arterial blood O2 units are mL O2/dL. Liver elastography units are kPa.

Wechsler fests include Wechsler Intelligence Scale for Children (WISC, 5th edition), Wechsler Adult Intelligence Scale (WAIS, 4th edition), or the Wechsler Preschool and Primary Scales of Intelligence (WPPSI, 4th edition).

<sup>\*</sup>P < .01.

<sup>†</sup>P < .05.‡P = .05.

December 2020 ORIGINAL ARTICLES



Note. Higher scores indicate better functioning on the Tower of London, Adaptive Behavior Assessment System, and Beery Visual-Motor Integration Test. AVVR = atrioventricular valve regurgitation.

**Figure.** Relationships between physiologic and neuropsychological variables. Higher scores indicate better functioning on the Tower of London, Adaptive Behavior Assessment System, and Beery Visual-Motor Integration Test.

## **Discussion**

In this cohort of 68 children and adolescents with SVHD after Fontan operation, we found significant associations between physiologic and neuropsychologic functioning. Poorer executive functioning was predicted independently by lower arterial blood oxygen content and the presence of sleep-disordered breathing. Executive functioning difficulties have been associated with lower quality of life in adolescence and increased reliance on disability benefits in adulthood for individuals with complex CHD. 17,37-44

In infants with CHD, lower regional cerebral oxygen saturations have been associated with poorer neurodevelopmental outcomes and decrease cerebral oxygen delivery has been shown to predict impaired development of the cerebral cortex. 44-49 In contrast, pulse oximetry readings during the interstage period have been found to not predict developmental status in SVHD.<sup>50</sup> We extended this literature by demonstrating that post-Fontan arterial blood oxygen content is an important predictor of executive functioning in children with SVHD. Implications include consideration of interventions to maximize blood oxygen content after the Fontan procedure. For example, a fenestration in the Fontan conduit is often created in an attempt to optimize the postoperative course by providing a means for cavopulmonary flow to bypass the lungs and reenter the heart; this procedure has the effect of marginally decreasing cavopulmonary pressures at the expense of systemic oxygen saturations, and

arterial blood oxygen content by extension. There is significant practice variability surrounding whether or not to routinely close Fontan fenestrations in the years after Fontan surgery. If the association between blood oxygen content and executive functioning appear robust and reproducible, this could provide a strong impetus to optimize blood oxygen content, including consideration of routine fenestration closure.

Sleep-disordered breathing was related to poorer visualmotor integration skills. Visual-motor integration is involved in academic learning and has been found to be correlated with school readiness, nonverbal reasoning, and visual attention. 45,46,51,52 Previous research has identified relationships between sleep-disordered breathing, executive functioning, and visual-motor integration in healthy populations. 47,48,53,54 We extend these findings to the SVHD post-Fontan population, a group with myriad additional risk factors for cognitive sequelae. Although 15% of our sample had a diagnosis of sleep-disordered breathing, up to 33% of the SVHD post-Fontan population may have symptoms of primary snoring and/or obstructive sleep apnea. Notably, a retrospective chart review indicated that none of the patients in our sample with sleepdisordered breathing were receiving an intervention (eg, continuous positive airway pressure therapy) at the time of the neuropsychologic evaluation. The implications for executive functioning impairment warrant screening and treatment of sleep-disordered breathing in SVHD.

Table III. Relationships between physiologic and neuropsychologic functioning after controlling for history of stroke or seizure

Predictors	β	R <sup>2</sup>	$\Delta R^2$	F	<i>P</i> value					
Dependent variable: executive functioning										
Step 1	ŭ	0.04	0.04	0.44	.65					
History of stroke	-0.18				.42					
History of seizures	-0.07				.74					
Step 2		0.40	0.36	2.98	.04					
History of stroke	-0.15				.41					
History of seizures	0.07				.73					
Sleep disordered breathing	-0.42				.01					
Arterial O <sub>2</sub> content	0.52				.04					
Dependent variable: visual-motor integration										
Step 1		0.03	0.03	0.99	.37					
History of stroke	-0.14				.28					
History of seizures	0.13				.33					
Step 2		0.16	0.13	3.58	.01					
History of stroke	-0.11				.36					
History of seizures	0.22				.09					
Sleep-disordered breathing	-0.37				.00					
Dependent variable: adaptive functioning										
Step 1		0.16	0.16	5.38	.000					
History of stroke	-0.41				.002					
History of seizures	0.07				.57					
Step 2		0.21	0.05	4.80	.000					
History of stroke	-0.35				.007					
History of seizures	0.04				.75					
AVVR	-0.22				.07					

Executive functioning measured by the Tower of London Total Moves Standard Score (a higher score indicates better executive functioning). Sleep-disordered breathing is coded as dichotomous (yes/no). Visual motor integration measured by the Beery Visual-Motor Integration Standard Score (higher score indicates better visual-motor integration). Adaptive Functioning measured by the Adaptive Behavior Assessment System, Third Edition General Adaptive Composite Standard Score (higher score indicates better adaptive functioning). AVVR coded as none/trivial (0), mild (1), moderate, and above (2).

We also found that a greater degree of AVVR was associated with poorer parent-rated adaptive functioning. Adaptive functioning has been shown to be impaired in adolescents with CHD, and it has direct implications for an individual's eventual level of educational attainment, independence, and employment status. -0. Of note, more significant AVVR may be a marker of more problematic single ventricle physiology writ large, because it is more common to see highergrade AVVR in the setting of single right ventricle morphology or common atrioventricular canal defect, which are well-established risk factors for adverse outcomes. From a mechanistic standpoint, more severe AVVR may result in decreased cardiac output, in that some of the stroke volume is directed retrograde into the atrium. In this conceptual framework, there may be implications for oxygen and nutrient delivery to the brain, which may be reflected in this broad index of a child's day-to-day functioning. This relationship was only marginally significant when controlling for history of stroke because of a strong correlation in our sample between AVVR and stroke history. It is unclear why children with history of a stroke would have a higher AVVR; we suspect this collinearity is spurious and maintain the importance of the primary relationship between AVVR and adaptive functioning. Implications include consideration of proactive management of moderate and above AVVR, such as atrioventricular valvuloplasty or replacement.

In addition to these findings, our data suggest that several neuropsychologic domains may not be related to physiologic functioning in this population, including overall IQ, visual attention, reading, and math. These particular neuropsychologic domains are broad, and future research into their component parts (eg, verbal vs visual IQ, sustained vs focused attention, and particular aspects of reading and math skill) may be helpful in elucidating any potential relationships with physiologic functioning.

Strengths of this study include the size of the sample and the comprehensiveness of the multidisciplinary evaluation. One important limitation is the clinical nature of our dataset. We collected data prospectively according to our clinical care pathway, which meant that not all participants had every data point available concurrently.<sup>31</sup> For example, our clinical care pathway advocates for regular post-Fontan cardiac catheterizations for all patients with SVHD starting at age 10. The cross-sectional nature of our analyses precludes direct assumptions of causality, and although our post hoc tests addressed 3 potential residual confounders, it is certainly possible that other, unstudied variables impacted our results. Additionally, we made a number of comparisons and, given our sample size, we may have been underpowered to detect relationships with a small to medium effect size. We endeavored to limit the number of comparisons a priori by limiting medical and neuropsychologic variables and maximizing our sample size by using a wide age range in the post-Fontan population. Although using an inclusive age range increased our sample size, it also may have introduced additional variability in our study given differences in neuropsychologic assessment from young childhood to adolescence. We did not perform subgroup analyses owing to the number of comparisons presented herein, but future studies might investigate relationships between physiologic and neuropsychologic functioning in more circumscribed cohorts.

Our findings include the impact of sleep-disordered breathing on executive functions and visual-motor skills, the influence of arterial blood oxygen content on executive functions, and the link between AVVR and adaptive functioning in the post-Fontan SVHD population. Broad implications include the critical need for long-term, prospective, multidisciplinary surveillance and intervention in SVHD, including subspecialists from cardiology, pulmonology, gastroenterology/hepatology, and psychology. Furthermore, it is important to consider risk factors for neuropsychologic difficulties from outside the central nervous system to optimize the neurodevelopmental trajectories of individuals with SVHD and Fontan physiology.

Submitted for publication Apr 27, 2020; last revision received Jun 12, 2020; accepted Jul 14, 2020.

Reprint requests: Kelly R. Wolfe, PhD, 13123 E 16th Ave, B155, Aurora, CO 80045. E-mail: kelly.wolfe@childrenscolorado.org

#### **Data Statement**

Data sharing statement available at www.jpeds.com.

December 2020 ORIGINAL ARTICLES

## References

- Liu Y, Chen S, Zuhlke L, Black GC, Choy MK, Li N, et al. Global birth prevalence of congenital heart defects 1970-2017: updated systematic review and meta-analysis of 260 studies. Int J Epidemiol 2019;48:455-63.
- Downing TE, Allen KY, Glatz AC, Rogers LS, Ravishankar C, Rychik J, et al. Long-term survival after the Fontan operation: twenty years of experience at a single center. J Thorac Cardiovasc Surg 2017;154:243-53, e2.
- 3. Furck AK, Uebing A, Hansen JH, Scheewe J, Jung O, Fischer G, et al. Outcome of the Norwood operation in patients with hypoplastic left heart syndrome: a 12-year single-center survey. J Thorac Cardiovasc Surg 2010;139:359-65.
- 4. McGuirk SP, Griselli M, Stumper OF, Rumball EM, Miller P, Dhillon R, et al. Staged surgical management of hypoplastic left heart syndrome: a single institution 12 year experience. Heart 2006;92:364-70.
- Tweddell JS, Hoffman GM, Mussatto KA, Fedderly RT, Berger S, Jaquiss RD, et al. Improved survival of patients undergoing palliation of hypoplastic left heart syndrome: lessons learned from 115 consecutive patients. Circulation 2002;106:I82-9.
- Cassidy AR, Ilardi D, Bowen SR, Hampton LE, Heinrich KP, Loman MM, et al. [Formula: see text] Congenital heart disease: a primer for the pediatric neuropsychologist. Child Neuropsychol 2018;24: 859-902
- Goldberg DJ, Surrey LF, Glatz AC, Dodds K, O'Byrne ML, Lin HC, et al. Hepatic fibrosis is universal following Fontan operation, and severity is associated with time from surgery: a liver biopsy and hemodynamic study. J Am Heart Assoc 2017;6.
- Kantor PF, Redington AN. Pathophysiology and management of heart failure in repaired congenital heart disease. Heart Fail Clin 2010;6:497-506. ix.
- Liptzin DR, Di Maria MV, Younoszai A, Narkewicz MR, Kelly SL, Wolfe KR, et al. Pulmonary screening in subjects after the Fontan procedure. J Pediatr 2018;199:140-3.
- Schumacher KR, Stringer KA, Donohue JE, Yu S, Shaver A, Caruthers RL, et al. Fontan-associated protein-losing enteropathy and plastic bronchitis. J Pediatr 2015;166:970-7.
- 11. Allen KY, Downing TE, Glatz AC, Rogers LS, Ravishankar C, Rychik J, et al. Effect of Fontan-associated morbidities on survival with intact Fontan circulation. Am J Cardiol 2017;119:1866-71.
- 12. Dulfer K, Bossers SS, Utens EM, Duppen N, Kuipers IM, Kapusta L, et al. Does functional health status predict health-related quality of life in children after Fontan operation? Cardiol Young 2016;26:459-68.
- Idorn L, Jensen AS, Juul K, Overgaard D, Nielsen NP, Sorensen K, et al. Quality of life and cognitive function in Fontan patients, a population-based study. Int J Cardiol 2013;168:3230-5.
- Calderon J, Bellinger DC. Executive function deficits in congenital heart disease: why is intervention important? Cardiol Young 2015;25:1238-46.
- Sugimoto A, Ota N, Ibuki K, Miyakoshi C, Murata M, Tosaka Y, et al. Risk factors for adverse neurocognitive outcomes in school-aged patients after the Fontan operation. Eur J Cardiothorac Surg 2013;44:454-61; discussion 461.
- Uzark K, Lincoln A, Lamberti JJ, Mainwaring RD, Spicer RL, Moore JW. Neurodevelopmental outcomes in children with Fontan repair of functional single ventricle. Pediatrics 1998;101:630-3.
- Ilardi D, Ono KE, McCartney R, Book W, Stringer AY. Neurocognitive functioning in adults with congenital heart disease. Congenit Heart Dis 2017;12:166-73.
- Marelli A, Miller SP, Marino BS, Jefferson AL, Newburger JW. Brain in congenital heart fisease across the lifespan: the cumulative burden of injury. Circulation 2016;133:1951-62.
- Clouchoux C, du Plessis AJ, Bouyssi-Kobar M, Tworetzky W, McElhinney DB, Brown DW, et al. Delayed cortical development in fetuses with complex congenital heart disease. Cereb Cortex 2013;23:2932-43.
- Bellinger DC, Watson CG, Rivkin MJ, Robertson RL, Roberts AE, Stopp C, et al. Neuropsychological status and structural brain imaging in adolescents with single ventricle who underwent the Fontan procedure. J Am Heart Assoc 2015;4.

- 21. de Los Reyes E, Roach ES. Neurologic complications of congenital heart disease and its treatment. Handb Clin Neurol 2014;119:49-59.
- Gaynor JW, Ittenbach RF, Gerdes M, Bernbaum J, Clancy RR, McDonald-McGinn DM, et al. Neurodevelopmental outcomes in preschool survivors of the Fontan procedure. J Thorac Cardiovasc Surg 2014;147:1276-82. discussion 1282-3, e5.
- 23. Goldberg CS, Schwartz EM, Brunberg JA, Mosca RS, Bove EL, Schork MA, et al. Neurodevelopmental outcome of patients after the Fontan operation: a comparison between children with hypoplastic left heart syndrome and other functional single ventricle lesions. J Pediatr 2000;137:646-52.
- 24. Mahle WT, Lu M, Ohye RG, William Gaynor J, Goldberg CS, Sleeper LA, et al. A predictive model for neurodevelopmental outcome after the Norwood procedure. Pediatr Cardiol 2013;34:327-33.
- 25. Goldberg CS, Lu M, Sleeper LA, Mahle WT, Gaynor JW, Williams IA, et al. Factors associated with neurodevelopment for children with single ventricle lesions. J Pediatr 2014;165:490-6, e8.
- 26. Medoff-Cooper B, Irving SY, Hanlon AL, Golfenshtein N, Radcliffe J, Stallings VA, et al. The association among feeding mode, growth, and developmental outcomes in infants with complex congenital heart disease at 6 and 12 months of age. J Pediatr 2016;169:154-9, e1.
- 27. Mussatto KA, Hoffmann R, Hoffman G, Tweddell JS, Bear L, Cao Y, et al. Risk factors for abnormal developmental trajectories in young children with congenital heart disease. Circulation 2015;132:755-61.
- 28. Newburger JW, Sleeper LA, Bellinger DC, Goldberg CS, Tabbutt S, Lu M, et al. Early developmental outcome in children with hypoplastic left heart syndrome and related anomalies: the single ventricle reconstruction trial. Circulation 2012;125:2081-91.
- Sananes R, Manlhiot C, Kelly E, Hornberger LK, Williams WG, MacGregor D, et al. Neurodevelopmental outcomes after open heart operations before 3 months of age. Ann Thorac Surg 2012;93:1577-83.
- **30.** Sarajuuri A, Jokinen E, Mildh L, Tujulin AM, Mattila I, Valanne L, et al. Neurodevelopmental burden at age 5 years in patients with univentricular heart. Pediatrics 2012;130:e1636-46.
- 31. Di Maria MV, Barrett C, Rafferty C, Wolfe K, Kelly SL, Liptzin DR, et al. Initiating a Fontan multidisciplinary clinic: decreasing care variability, improving surveillance, and subsequent treatment of Fontan survivors. Congenit Heart Dis 2019;14:590-9.
- 32. Zoghbi WA, Enriquez-Sarano M, Foster E, Grayburn PA, Kraft CD, Levine RA, et al. Recommendations for evaluation of the severity of native valvular regurgitation with two-dimensional and Doppler echocardiography. J Am Soc Echocardiogr 2003;16:777-802.
- 33. Wechsler D. Wechsler intelligence scale for children. 5th ed. San Antonio (TX): Pearson; 2014.
- Wechsler D. Wechsler adult intelligence scale. 4th ed. San Antonio (TX): Pearson; 2008.
- **35.** Wechsler D. Wechsler preschool and primary scales of intelligence. 4th ed. San Antonio (TX): Pearson; 2012.
- Harrison PL, Oakland T. Adaptive behavior assessment system. 3rd ed. San Antonio (TX): Pearson; 2015.
- Beery KE, Buktenica NA, Beery NA. The Beery-Buktenica developmental test of visual-motor integration. 6th ed. Minneapolis (MN: Pearson; 2010.
- **38.** Conners CK. Conners continuous performance test. 3rd ed. Toronto (ON): Multi-Health Systems; 2014.
- Conners CK. Conners kiddie continuous performance test. 2nd ed. Toronto (ON): Multi-Health Systems; 2015.
- Culbertson WC, Zillmer EA. Tower of London Drexel University. Toronto (ON): Multi-Health Systems; 2005.
- 41. Wechsler D. Wechsler individual achievement test. 3rd ed. San Antonio (TX): Pearson; 2009.
- 42. IBM SPSS Statistics for Windows, version 26. Armonk (NY): IBM Corp.
- 43. Rothman KJ. No adjustments are needed for multiple comparisons. Epidemiology 1990;1:43-6.
- **44.** Neal AE, Stopp C, Wypij D, Bellinger DC, Dunbar-Masterson C, DeMaso DR, et al. Predictors of health-related quality of life in adolescents with tetralogy of Fallot. J Pediatr 2015;166:132-8.

- **45.** Aly SA, Zurakowski D, Glass P, Skurow-Todd K, Jonas RA, Donofrio MT. Cerebral tissue oxygenation index and lactate at 24 hours postoperative predict survival and neurodevelopmental outcome after neonatal cardiac surgery. Congenit Heart Dis 2017;12:188-95.
- 46. Hoffman GM, Brosig CL, Mussatto KA, Tweddell JS, Ghanayem NS. Perioperative cerebral oxygen saturation in neonates with hypoplastic left heart syndrome and childhood neurodevelopmental outcome. J Thorac Cardiovasc Surg 2013;146:1153-64.
- Simons J, Sood ED, Derby CD, Pizarro C. Predictive value of near-infrared spectroscopy on neurodevelopmental outcome after surgery for congenital heart disease in infancy. J Thorac Cardiovasc Surg 2012;143:118-25.
- **48.** Sood ED, Benzaquen JS, Davies RR, Woodford E, Pizarro C. Predictive value of perioperative near-infrared spectroscopy for neurodevelopmental outcomes after cardiac surgery in infancy. J Thorac Cardiovasc Surg 2013;145:438-45.e1. discussion 444-5.
- **49.** Kelly CJ, Makropoulos A, Cordero-Grande L, Hutter J, Price A, Hughes E, et al. Impaired development of the cerebral cortex in infants

- with congenital heart disease is correlated to reduced cerebral oxygen delivery. Sci Rep 2017;7:15088.
- Wolfe KR, Brinton J, Di Maria MV, Meier M, Liptzin DR. Oxygen saturations and neurodevelopmental outcomes in single ventricle heart disease. Pediatr Pulmonol 2019;54:922-7.
- 51. Decker SL. Measuring growth and decline in visual-motor processes with the Bender-Gestalt. 2nd ed. J Psychoeduc Assess 2007;26:3-15.
- Decker SL, Englund JA, Carboni JA, Brooks JH. Cognitive and developmental influences in visual-motor integration skills in young children. Psychol Assess 2011;23:1010-6.
- Beebe DW, Groesz L, Wells C, Nichols A, McGee K. The neuropsychological effects of obstructive sleep apnea: a meta-analysis of norm-referenced and case-controlled data. Sleep 2003;26:298-307.
- 54. Ruberto M, Precenzano F, Parisi L, Salerno M, Maltese A, Messina G, et al. Visuomotor integration skills in children affected by obstructive sleep apnea syndrome: a case-control study. Acta Med Mediterranea 2016;32:1659-63.