



## Comparison of different pathological markers in predicting pyeloplasty outcomes in children <sup>☆,☆☆,☆☆☆</sup>

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### ABSTRACT

**Purpose:** To compare the efficacy of pathological markers like Interstitial cells of Cajal (ICC), neurons and Collagen to Muscle ratio (CM ratio), in predicting pyeloplasty outcomes.

**Methods:** Histological sections from 31 patients with UPJO were analyzed for ICC & neurons on immunohistochemistry and CM ratio on Masson's trichrome staining. Post-operative outcomes were analyzed at 1-year follow up; expressed as excellent, moderate or mild improvement, static and deterioration based on the three factors: ultrasound grade, differential renal function and renogram drainage pattern. The pathological findings were correlated with clinical outcomes.

**Results:** The study group (n = 31) had a mean age 2.9 (0.6) years (M: F = 22:9). UPJ segment had significantly less ICC/neurons and more collagen compared to normal ureter (p = 0.001). Pathological parameters at the anastomosed end of ureter had a better correlation than those at UPJ with clinical outcome. CM ratio with a stronger correlation (r = -0.94; p = 0.001) was a better predictor of prognosis than ICC (r = 0.76; p = 0.01) or neuron (r = 0.83; p = 0.01) density. ICC > 10/HPF, neurons > 6/HPF and CM ratio < 1.2 at ureteric end anastomosed were predictors of success.

**Conclusions:** CM ratio analysis at anastomosed ureter is a superior marker for predicting pyeloplasty outcomes.

**Level of Evidence:** Type 2: Development of diagnostic criteria in a consecutive series of patients.

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Ureteropelvic junction obstruction (UPJO) is the most common form of urinary tract obstruction in children [1] and the surgical correction typically involves a dismembered pyeloplasty. It is usually a successful procedure with failure rate of 5–7% [2,3]. An aperistaltic narrow segment has been proposed as a cause of UPJO in children [4,5]. While Stringer [6] claimed that there is no sound anatomical basis for existence of a region described as uretero pelvic junction (UPJ), Shafik and Sherif supported the existence of a muscular sphincter at UPJ. [7] Although the exact etiology of UPJO is still unknown, surgeons often

send the excised narrow segment for routine histopathology [5,8] which typically reveals fibrosis and only inflammatory cell infiltrate.

Further detailed studies have shown that interstitial cells of Cajal (ICC) play an important role as a pacemaker in promoting peristaltic wave and pushing the bolus of urine down the ureter [9]. A decrease in ICC density at UPJO has been described as a factor in the etiology of hydronephrosis [10–16]. Decrease in neurons [5,17], smooth muscle disarray [18–21], increase in collagen and elastin content [1,13,22–24], have all been described in the etiology of UPJO. While most of the above studies have analyzed the UPJO segment from the diagnostic point of view, only two studies have studied the resected margin of the anastomosed end of ureter with prognostication in mind [25,26]. The aim of the present study is to compare the efficacy of pathological markers like ICC, neurons and Collagen to Muscle ratio (CM ratio), in prediction of prognosis after pyeloplasty.

### 1. Materials and methods

This was a prospective study performed between August 2017 and July 2018 after obtaining the necessary institutional ethics committee

**Abbreviations:** UPJ, Uretero pelvic junction; UPJO, Uretero pelvic junction obstruction; ICC, Interstitial cells of Cajal; CM ratio, Collagen to muscle ratio; US, Ultrasonogram; DRF, Differential renal function; APD, Antero posterior diameter of renal pelvis; SFU, Society of fetal urology grading of hydronephrosis; CD 117, Immunohistochemical marker for ICC; S 100, Immunohistochemical marker for neurons; HPF, High power field.

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approval ((IEC-NI/17/59/34)). Informed consent was obtained from all the patients and all procedures complied with the declaration of Helsinki.

### 1.1. Patient groups

The study group comprised of all consecutive patients who underwent open pyeloplasty for unilateral UPJO. Those with associated vesico ureteric reflux, posterior urethral valve, vesico ureteric junction obstruction and duplication were excluded. In addition those for whom a secondary/extrinsic cause of UPJO was a possibility (crossing vessel) were also excluded. Among 35 patients recruited, two were excluded for failure to follow-up and two for post-operative complications (1 urosepsis; 1 leak) that could confound the outcome leaving the remaining ( $n = 31$ ) as the study group.

### 1.2. Treatment protocol

All patients were pre-operatively assessed with ultrasound (US), and diuretic renogram (Tc <sup>99</sup>MAG3) for differential renal function (DRF) and drainage pattern. The indications for surgery were deterioration in any two of the above three parameters during the follow-up. Functional deterioration (10% drop in DRF) was seen in 23 patients while in 8 patients there was worsening of US along with the drainage pattern prompting the intervention. Open dismembered pyeloplasty was performed by the same surgeon (RB) in all cases. The UPJ segment was defined as the portion that lies between the pelvis and the point where the ureter width becomes normal (comparable to the distal ureter). The narrow segment was marked as UPJO and the resected lower end of the specimen was marked separately as the anastomosed ureteric end. Pyeloplasty was performed with interrupted 6–0 polyglactin sutures and a double-J-stent was kept for a period of 4–6 weeks.

### 1.3. Pathological analysis

#### 1.3.1. Interstitial cells of Cajal

Immunohistochemical study using c-kit (CD117) for ICC was performed on formalin-fixed and paraffin-embedded tissue samples using EP10 mono-clonal antibody of rabbit for CD117 (BioGenex, 49,026, Milmont Drive, Fremont, CA94538). Positive controls were stained along with the cases, the known control for CD117 being gastro intestinal stromal tumor. ICC were spindle-shaped cells with stellate cytoplasmic extensions at both poles. Number of ICC/10 high power field (HPF) was assessed by an independent researcher, blinded to outcomes.

#### 1.3.2. Neurons

Immunohistochemical study for evaluation of neurons was performed using 15E2E2 monoclonal antibody of mouse for S100, (BioGenex, 49,026, Milmont Drive, Fremont, CA94538). Positive controls were stained along with the cases, the known control for S 100 being neurofibroma. Number of neurons which stain dark with S 100 antibody /10HPF was assessed by an independent researcher (blinded to outcomes).

#### 1.3.3. Masson's trichrome staining

Formalin-fixed and paraffin-embedded tissue samples were horizontally sectioned at 4  $\mu$ m to examine muscle and collagen. Sections were stained using conventional Masson's trichrome, which highlights the extracellular matrix or collagen as blue and smooth muscle as red.

#### 1.3.4. Collagen muscle ratio analysis

Quantitative estimate of collagen versus muscle content was performed by an independent observer using color image analysis described by Kim [27]. Digital photographs of Masson's Trichrome stained specimens were cropped to select area of interest excluding epithelium or adventitia. Color image analysis was performed using

commercially available online color extraction tool powered by multi-color engine (Tiny Eye labs, Copyright © 2017 Idée Inc., 223 Queen St. E., Toronto, ON, Canada M5A 1S2). The areas corresponding to blue color were matched as collagen, with areas of red as muscle. The ratio of blue area to red area was considered as collagen to muscle ratio (CM ratio).

### 1.4. Surgical outcomes

All the patients were followed up at 3 monthly intervals with US and final post-operative outcome was analyzed at 1-year follow up based on the three factors: US grade, DRF and renogram drainage pattern (Table 1). Improvement by 1–2 points in all three parameters was expressed as excellent, in two parameters as moderate and in one parameter as mild improvement. Improvement/ deterioration was scaled based on hydronephrosis severity score (Table 1) described earlier [28]. Patients who remained unchanged on all three parameters were considered static. If deterioration in more than one parameter was noted at 1-year follow-up they were considered as failure and booked for a repeat pyeloplasty.

### 1.5. Statistical analysis

Data like ICC/10 HPF, neurons/ 10HPF and CM ratio were expressed as mean and median and were compared using Mann Whitney U test. Post-operative outcomes were compared with ICC, neurons and CM ratio with Pearson correlation. Outcomes from deterioration to excellent improvement were scaled 1 to 5 and linear regression analysis was performed to identify the number of ICC/ neurons and CM ratio required for a successful outcome. Data were analyzed using Statistical Package for Social Sciences, version 12.0 (SPSS, Chicago, IL, USA). A probability of <0.05 was considered significant.

## 2. Results

### 2.1. Clinical outcomes

The study group ( $n = 31$ ) had a mean (s.d.) age of 2.9 (0.6) years ranging from 0.2–11 years (M: F = 22:9) the majority being prenatally diagnosed hydronephrosis. The median follow up was 16 months (range 12–19 months). Fig. 1 describes the outcomes. There was excellent improvement in 7 patients, moderate improvement in 16 and mild improvement in 3 patients. In 3 patients the outcome was static and they are still under follow-up. There was worsening in 2 (6%) patients at 1 year-follow up and both underwent a repeat pyeloplasty.

### 2.2. Pathological outcomes

Fig. 1 compares the distribution of pathological markers at the UPJ and anastomosed end of the ureter. The mean number of ICC/10 HPF was significantly lower ( $p = 0.001$ ) at 5.3 (2.3) in UPJ compared to 12.4 (5.1) in the anastomosed end of ureter. The mean number of neurons/10 HPF was significantly lower ( $p = 0.007$ ) at 5.1 (3.7) in UPJ compared 8.5 (3.8) in the anastomosed end of ureter. Mean CM ratio was significantly higher ( $p = 0.001$ ) at 2.2 (0.72) in UPJ compared to 0.95 (0.48) in the ureter end used for anastomosis. Fig. 2 depicts representative examples of the pathological markers between UPJ and anastomosed end of the ureter. Specimens of anastomosed end of ureter had significantly higher ICC/ neurons compared to the UPJ. Specimens of UPJ had significantly higher collagen (blue areas) while the anastomosed end of ureter had higher smooth muscle (red areas). UPJ segment of the two patients (failures), who had repeat pyeloplasty, had high CM ratio and fibrosis.

Fig. 3 depicts the correlation between pathological parameters at UPJ and anastomosed end of ureter with the clinical outcome. Pathological parameters at the anastomosed end of ureter had a better correlation

**Table 1**  
Clinical outcomes – and quantitative analysis of pathological markers in relation to clinical outcome.

Hydronephrosis severity score			
A. DRF (renogram)	B. Drainage pattern (renogram)	C. SFU grading/ APD (ultrasonogram)	
0 ≥ 45%	0 good drainage starts even before frusemide	0 normal (APD ≤ 5 mm)	
1 40–44%	1 good drainage starts only after frusemide	1 mild dilatation of pelvis (APD 6–9 mm)	
2 35–39%	2 delayed drainage after frusemide (equivocal)	2 moderate pelvis dilatation (APD 10–19 mm)	
3 30–34%	3 poor response to frusemide (plateau) + partial clearance in 2-h	3 pelvis & calyces dilated (APD 20–29 mm)	
4 < 30%	4 no response to frusemide (up-raising curve) + stasis in 2-h (HSS) = A + B + C; Maximum score 12; Minimum score 0	4 severe pelvi calyecal dilatation + cortical thinning (APD ≥ 30 mm)	
Post-operative outcomes			
Clinical outcome	Parameters (DRF, drainage curve, USG)	Post op HSS - Pre op HSS	
Excellent Improvement	All three parameters improve by 1–2	5–6	
Good improvement	Two parameters improve by 1–2	3–4	
Mild improvement	One parameter improves by 1–2	1–2	
No improvement	No parameter changes	0	
Deterioration	Deterioration in one or more parameters	– 1 or less	
Pathological parameters (mean) at anastomosed end of ureter			
n = 31	ICC	Neurons	CM Ratio
7	18.2	14	0.3
16	15.6	11.2	0.6
3	13.4	9.1	0.8
3	9.4	5.3	1.2
2	1.5	2.5	2.2

Hydronephrosis severity score (HSS) is based on three parameters, differential renal function (DRF), drainage pattern and ultrasound grade/anteroposterior diameter (APD) each scaled from 0 to 4.

with clinical outcome than those at UPJ. CM ratio showed a stronger correlation ( $r = -0.94$ ;  $p = 0.001$ ) and so was a better predictor of prognosis than ICC ( $r = 0.76$ ;  $p = 0.01$ ) or neuron ( $r = 0.83$ ;  $p = 0.01$ ). The predictors for success determined by linear regression analysis (Fig. 3) were: ICC of  $\geq 10$ /HPF (95% CI 6–15), neurons  $\geq 6$ /HPF (95% CI 3–23), and a CM ratio  $\leq 1.2$  (95% CI 1.9–0.7).

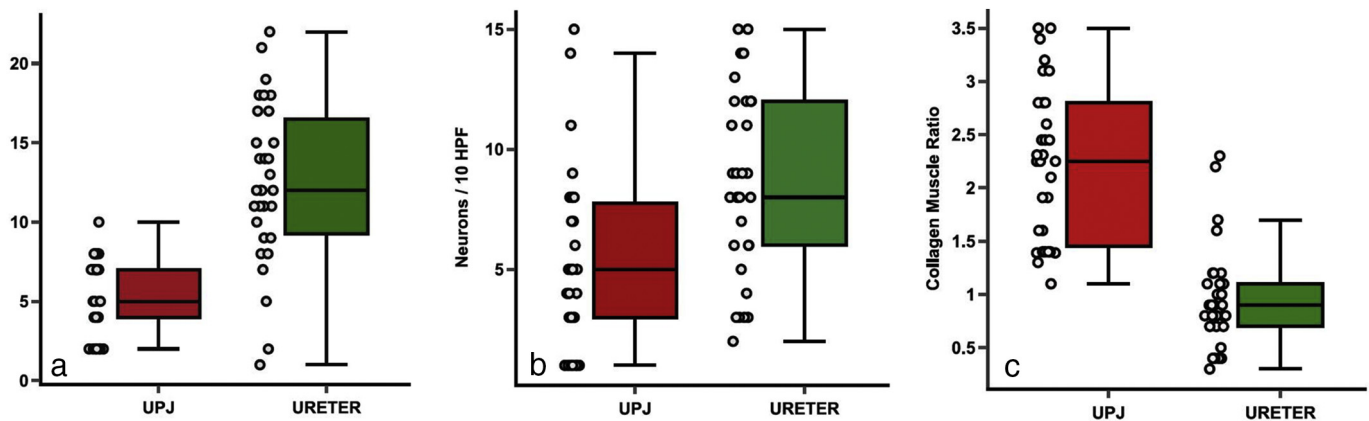
### 3. Discussion

While pyeloplasty is generally a successful surgery [1,25] on 5–7% occasions it fails [2,3]. While some authors have found little evidence to support a histological basis for pyeloplasty outcomes [16,26], others have identified presence of abnormalities in ICC, neurons and collagen-muscle matrix at UPJ [1–15,16,19–29]. Several of these histological markers like ICC, neurons and CM ratio have been reported to be of prognostic significance. In the present study we have compared the efficacy of pathological markers and proposed cut off ranges that may predict successful outcome.

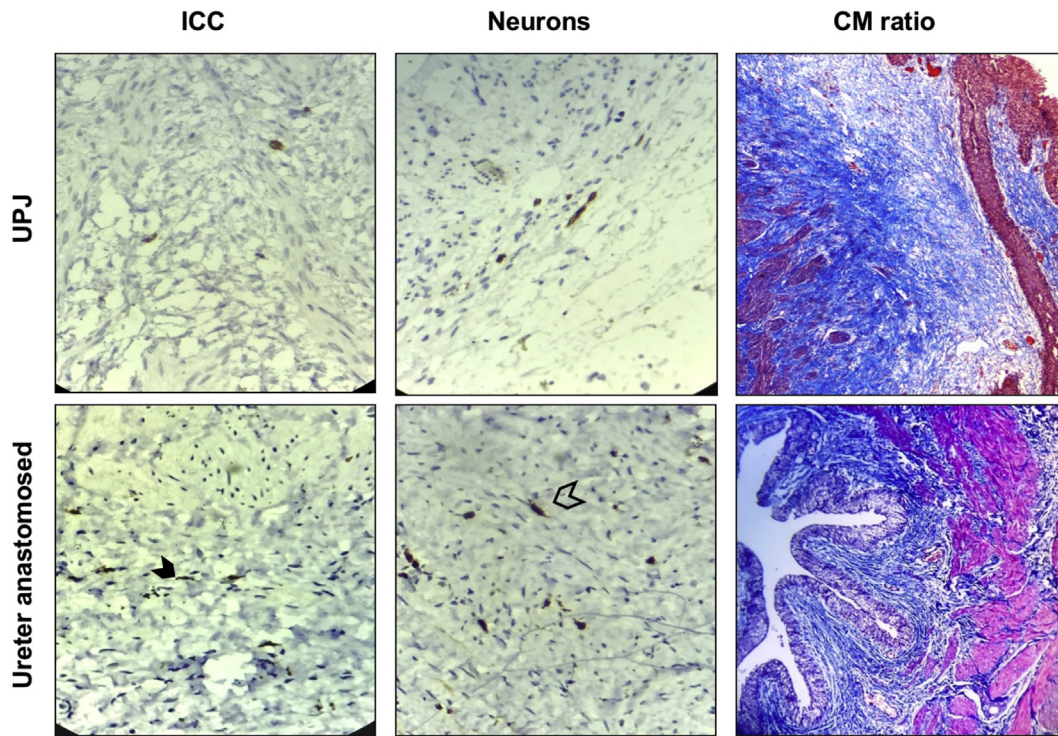
Yang [12] compared the expression of ICC in histological specimens from UPJ segments between patients and controls. They reported that a reduction in ICC density may play an important role in the pathogenesis of UPJO. In the study done by Senol [15] ICC in the UPJ were graded as rare (0–3 cells/10 HPF), few (4–6 cells/10 HPF), and many (= 7 cells/HPFs). Nearly 68% of cases in their study were graded as rare and this

was similar to that reported by Inugala [25] in which 52% of cases were graded as negative. The study by Alper Eken [14] used a similar grading system and concluded that in UPJO, there was a decrease in the number of ICC, as well as the changes in the morphologic structure of the ICC, indicating their role as a pacemaker system in ureteral peristalsis. Apoznanski reported no distributional difference in c-kit-positive ICC between obstructed and unobstructed UPJ [16]. While most of the studies have focused on the UPJ segment, it is essential to study the resected end of distal ureter as the normality at the ureter used for anastomosis is far more crucial for the outcome [25].

Kim [27] studied CM ratio by color image analysis and found that it was an essential parameter in predicting recovery after pyeloplasty. They reported a mean CM ratio of  $1.32 \pm 0.79$  in UPJ while only  $0.30 \pm 0.10$  in normal controls. They felt that those with lower CM ratio, were associated with better postoperative improvement of hydronephrosis. They divided the patients according to the CM ratio into group one: ratio  $\leq 1$ , group two: ratio 1–1.5 and group three: ratio  $> 1.5$  and found that the outcome was better in group one. However their findings [27] did not show a strong correlation [ $r = -0.43$ ] as they only assessed the prognostic value of UPJ segment with clinical improvement. Issi [26] compared the histological markers at UPJ between successful and unsuccessful groups following pyeloplasty and reported no significant difference between collagen, elastin, fibrosis or ICC between the groups. While some authors [26,29] have failed to show the



**Fig. 1.** Box cum scatter plot representing the differences in pathological parameters between UPJ and anastomosed end of ureter. Box plots represent median and quartiles while scatter plots represent actual values. Red boxes correspond to UPJ while green boxes anastomosed end of ureter. UPJ had significantly less ICC or neurons compared to anastomosed end of ureter. The CM ratio was significantly higher at UPJ compared to the anastomosed end of ureter.



**Fig. 2. Pathological parameters at UPJ versus anastomosed ureter** Specimens of anastomosed end of ureter had significantly higher ICC (block arrow) or neurons (hollow arrow) compared to the UPJ. Specimens of UPJ had significantly higher collagen (blue areas) while the anastomosed end of ureter had higher smooth muscle (red areas).

association between pathological markers and outcome and felt that surgical technique is more important, we feel that they have missed the association because they only studied the UPJ segment itself.

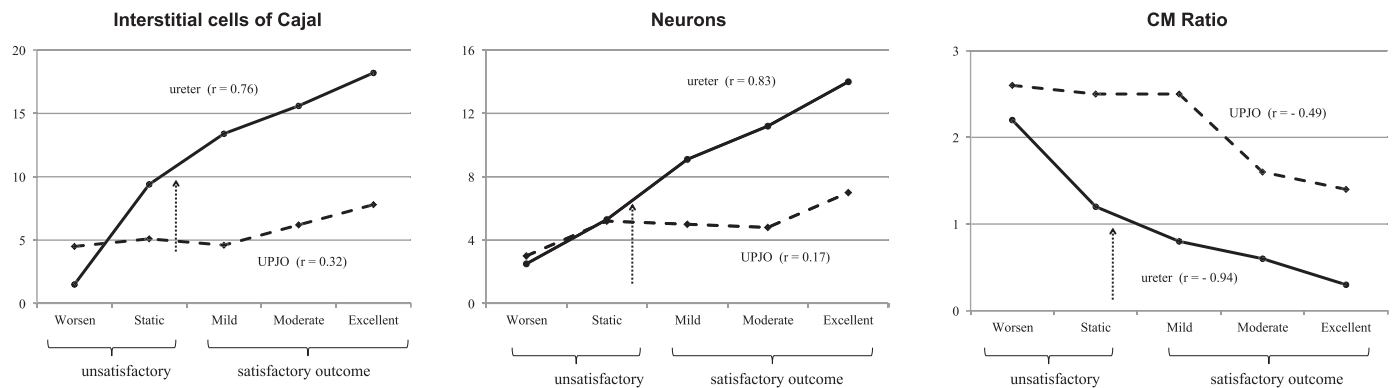
The present study has shown that parameters at the anastomosed end of ureter correlate better with pyeloplasty outcomes than those at UPJO segment. ICC of  $\geq 10$ /HPF, neurons  $\geq 6$ /HPF, and a CM ratio  $\leq 1.2$  were identified as predictors for success in the present study. CM ratio at the anastomosed end of ureter was a better predictor of pyeloplasty outcomes than ICC or neurons. Sending the UPJ specimen for routine histopathology is of no use, as it demonstrates only fibrosis and inflammatory infiltrate. On the other hand, if the anastomosed end of ureter is marked and sent for CM ratio, we get a good prognostic tool to help clinician predict outcome.

While immuno-histochemistry for ICC and neurons may not be widely available or affordable, Masson's trichrome staining is commonly used and CM ratio analysis should be feasible widely. The authors favor sending the anastomosed end of the ureter for CM ratio analysis

for prognostication. The findings of this study could also help in planning a closer follow up for those with unsatisfactory pathological findings at the anastomosed end of ureter. Keeping the stent longer in this group may be an option to prevent recurrence. The pitfalls of our study are small numbers. Although we feel exclusion of cases with lower polar vessels was appropriate, some might consider this as a selection bias. Further research should focus on development of intra operative markers that could help in identifying normal ureter by frozen section/ special stain during pyeloplasty. Further larger studies with cost benefit analysis are warranted to study the role of pathological markers in predicting pyeloplasty outcomes.

**4. Conclusions**

Pathological markers at the anastomosed end of ureter correlate better with clinical outcomes than those at UPJ. Sending the resected end of



**Fig. 3. Correlation between pathological parameters at UPJO/ anastomosed end of ureter with clinical outcome** Pathological parameters at the anastomosed end of ureter (solid line) had better correlation with clinical outcome than those at UPJ (dashed line). CM ratio at the resected end of ureter with a strongest correlation ( $r = -0.94$ ;  $p = 0.001$ ) was the best predictor of pyeloplasty outcomes. Dotted arrow points to predictors for success determined by regression analysis: ICC of  $\geq 10$ /HPF, neurons  $\geq 6$ /HPF, and a CM ratio  $\leq 1.2$ .

the ureter for collagen to muscle ratio analysis gives the best possible prognostic prediction on pyeloplasty outcomes.

## Funding

Nil.

## IRB approval

IEC-NI/17/APR/59/34.

## Informed consent

Informed consent was obtained from all individual participants included in the study.

## Declaration of competing interest

None to declare.

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