

Contents lists available at ScienceDirect

The American Journal of Surgery

journal homepage: www.americanjournalofsurgery.com



Upgrade rate of intraductal papilloma without atypia on breast core needle biopsy: A clinical, radiological and pathological correlation study



Iskender Sinan Genco ^{a, *}, Bugra Tugertimur ^b, Panagiotis A. Manolas ^b, Adnan Hasanovic ^a, Sabina Hajiyeva ^a

- a Northwell Health Lenox Hill Hospital, Department of Pathology and Laboratory Medicine, 100 E 77th street, New York, NY, 10075, USA
- ^b Northwell Health Lenox Hill Hospital, Department of Surgery, 100 E 77th street, New York, NY, 10075, USA

ARTICLE INFO

Article history: Received 14 December 2019 Received in revised form 21 January 2020 Accepted 22 January 2020

Keywords: Breast Intraductal papilloma Core needle biopsy Excision Upgrade Cancer

ABSTRACT

Background: The aim of the study was to determine the upgrade rate on excision of intraductal papilloma (IDP) without atypia diagnosed on breast core needle biopsy (CNB).

Methods: We searched our pathology department database for breast CNB with a diagnosis of IDP from 2013 to 2018. The exclusion criteria included radiologic-pathologic discordance, atypia on the same CNB, absence of histologic slides to review or absence of excision information. Upgrade was defined as ductal carcinoma in situ (DCIS) or invasive cancer identified on excision.

Results: 126 IDP without atypia cases from 94 patients were identified. The upgrade rate was 1.58% (2/126). Both upgrade cases showed DCIS with low and intermediate nuclear grade. Histologic size of IDP >1 cm was the only statistically significant predictor factor for an upgrade on excision.

Conclusion: The results suggest that non-surgical management of patients with radiologic-pathologic concordant IDP without atypia diagnosed on CNB may be appropriate in routine practice.

© 2020 Elsevier Inc. All rights reserved.

Introduction

Papillary breast lesions comprise a wide variety of lesions including benign intraductal papilloma (IDP), IDP with atypia, papilloma with ductal carcinoma in situ (DCIS), papillary DCIS and invasive papillary carcinoma. The common features of these lesions are single or multiple intraductal finger-like projections with arborizing fibrovascular cores. Benign IDP differs from other papillary breast lesions by having myoepithelial cells both within papillae and at the periphery of the lesion and benign epithelium covering the fibrovascular core.^{1–4} (see Table 1)

IDPs usually affect middle-aged women (30–50 years old) and are generally divided into two groups based on their location. The ones growing in the large central ducts near the nipple are called solitary IDPs. Solitary IDPs usually manifest with nipple discharge, subareolar palpable mass, or pain. They can also involve the peripheral smaller ducts that are farther from the nipple. These peripheral IDPs are less likely to cause symptoms and tend to involve

E-mail address: iskendergenco@gmail.com (I.S. Genco).

multiple small ducts.^{1–4}

Surgical excision is the standard treatment of papillary lesions with any atypia in current practice. 5,6 However, the management of IDPs without atypia diagnosed on core needle biopsy (CNB) is still controversial. The wide range of upgrade rates (0–29%) on surgical excision of IDPs without atypia diagnosed on CNB as well as the absence of any measurements on CNB to predict the upgrade rate on excision are the barriers to establishing standard management protocol for these lesions. $^{5-23}$

Our aim in this study was to analyze the clinical, radiologic, and histologic features of IDPs without atypia diagnosed on CNB and to correlate these features with the final diagnosis on surgical excision.

Material and methods

After receiving the approval from the Institutional Review Board, we electronically searched our pathology department database for the breast CNBs with the diagnosis of "papilloma" from July 1, 2013 to July 30, 2018. Some cases were excluded from the study due to several reasons including (1) presence of any

Corresponding author.

Table 1Clinical characteristics of patients with comparison of upgrade and non-upgrade groups.

	TOTAL	Non-Upgrade	Upgrade	p value	
Patients	94 (100%)	92 (97.9%)	2 (2.1%)		
Age					
Median (range)	51 (29-84)	51 (29-84)	68 (63-73)	0,166	
<50 years old	35 (37,2%)	35 (38%)	0 (0%)	0,498	
≥50 years old	59 (62.8%)	57 (62%)	2 (1005)		
Ethnicity					
Caucasian	64 (68.1%)	62 (67.4%)	2 (100%)	0.811	
African American	22 (23.4%)	22 (23.9%)	0 (0%)		
Asian/Indian	5 (5.3%)	5 (5.4%)	0 (0%)		
Hispanic	3 (3.1%)	3 (3.3%)	0 (0%)		
Symptoms					
No	74 (78.7%)	73 (79.3%)	1 (50%)	0,382	
Yes	20 (21.3%)	19 (20.7%)	1 (50%)		
Nipple discharge	13 (13.8%)	12 (13%)	1 (100%)	0.753	
Pain	5 (5.3%)	5 (5.4%)	0 (0%)		
Palpable mass	2 (2.2%)	2 (2.2%)	0 (0%)		
Personal History of B	reast Cancer				
No	80 (85.1%)	79 (85.9%)	1 (50%)	0,211	
Yes	14 (14.9%)	13 (14.1%)	1 (50%)		
Concurrent					
Yes	7 (7.4%)	6 (6.5%)	1 (50%)	0,123	
No	87 (92.6%)	86 (93.5%)	1 (50%)		
Concurrent and Ipsilateral					
Yes	3 (3.2%)	2 (2.2%)	1 (50%)	0,063	
No	91 (96.8%)	90 (97.8%)	1 (50%)		
Prior					
Yes	7 (7.4%)	7 (7.6%)	0 (0%)	-	
No	87 (92.6%)	87 (92.4%)	0 (0%)		

Fischer's exact test and Student's t-test revealed no statistically significant predictive factor.

atypical lesions (IDP with atypia, atypical lobular hyperplasia (ALH), lobular carcinoma in situ (LCIS), atypical ductal hyperplasia (ADH), flat epithelial atypia (FEA), ductal carcinoma in situ (DCIS) or invasive cancer) on the same biopsy, (2) radiologic-pathologic discordance, and (3) absence of the histologic slides to review. IDP with atypia was considered when an IDP was involved by ADH or DCIS. Certain immunohistochemical stains (ER, CK5/6, p63 etc.) were used to confirm the presence or absence of atypia when there was a suspicion on Hematoxylin & Eosion slides.

A total of 175 CNBs with a diagnosis of IDP without atypia from 143 patients were identified after applying the exclusion criteria. Among these, 126 IDPs from 94 patients were surgically excised and included for further analysis. Each IDP in patients with multiple IDPs was diagnosed on different CNB in different location and a

Table 2Radiologic characteristics of the targeted lesions with comparison between upgrade and non-upgrade groups.

	TOTAL	Non-Upgrade	Upgrade	p value		
Lesion on Radiology	126 (100%)	124 (98.42%)	2 (1.58%)			
Mode of biopsy						
US-guided	90 (71.4%)	88 (71%)	2 (100%)	0.647		
MRI-guided	22 (17.5%)	22 (17.7%)	0 (0%)			
Stereotactic	14 (11.1%)	14 (11.3%)	0 (0%)			
Target Lesion						
Mass	108 (85.7%)	106 (85.5%)	2 (100%)	0.844		
Calcifications	11 (8.7%)	11 (8.9%)	0 (0%)			
NME	7 (5.6%)	7 (5.6%)	0 (0%)			
Distance from nipple (mm)						
Median (range)	30 (5-110)	30 (5-110)	60 (10-110)	0,736		
<20 mm	30 (23.8%)	29 (23.4%)	1 (50%)	0,149		
≥20 mm	96 (76.2%)	95 (76.6%)	1 (50%)			

Fischer's exact test and Student's t-test revealed no statistically significant predictive factor.

Table 3Histologic characteristics of IDPs and comparison between upgrade and non-upgrade groups.

	TOTAL	Non-Upgrade	Upgrade	p value
Intraductal Papilloma	126 (100%)	124 (98.42%)	2 (1.58%)	
Size (mm)				
Median (range)	3 (1-16)	3 (1-16)	6.5 (3-10)	0,077
<2 mm	16 (12.7%)	16 (12.9%)	0 (0%)	1,000
≥2 mm	110 (87.3%)	108 (87.1%)	2 (100%)	
<5 mm	104 (82.5%)	103 (83.1%)	1 (50%)	0,320
≥5 mm	22 (17.5%)	21 (16.9%)	1 (50%)	
<10 mm	123 (97.6%)	122 (93.4%)	1 (50%)	0,047 ^a
≥10 mm	3 (2.4%)	2 (6.6%)	1 (50%)	
Fragmentation				
Yes	40 (31.7%)	38 (30.6%)	2 (100%)	0,099
No	86 (68.3%)	86 (69.4%)	0 (0%)	
Calcifications				
Yes	11 (8.7%)	10 (8.1%)	1 (50%)	0,168
No	115 (92.3%)	114 (91.9%)	1 (50%)	
Residual IDP on Excision	n			
Yes	95 (75.4%)	93 (75%)	2 (100%)	1,000
No	31 (24.6%)	31 (25%)	0 (0%)	

Abbreviations: IDP, intraductal papilloma.

separate surgical excision was performed for each IDP. There was no clinical or radiologic follow-up information for the remaining 49 cases.

Clinical information of the patients including gender, age at the time of diagnosis, symptoms, and personal history of prior or concurrent breast cancer (P/CBC) were collected from the electronic medical records. Imaging studies of the patients before and after CNB were reviewed to note the following information: mode of biopsy (stereotactic, US-guided or MRI-guided), size of the lesions, and distance of lesions from the nipple. The majority of CNBs were vacuum-assisted biopsy and the needle gauge ranged from 8 to 18.

All histologic slides of CNBs and subsequent surgical excisions were reviewed by two pathologists, one with subspecialty expertise in breast pathology. Slide review revealed no disagreement on the original diagnosis in any case. Benign IDP was defined as lesions with a distinct fibrovascular core lined by inner myoepithelium and outer epithelium without any atypia. The CNBs were evaluated for the size of IDP by measuring the largest fragment, as well as the presence of fragmentation and microcalcification. Surgical excisions were assessed for the presence of residual IDP or any additional atypical findings. The cases were considered to be an "upgrade" if DCIS or invasive cancer was found on the excision specimen. If DCIS or invasive cancer was found, their association with IDP was also noted.

Statistical analyses were performed by using the software SPSS Version 22 for Windows. Fisher's exact test was applied to compare two categorical variables and Student's t-test was used to evaluate the continuous variables. Results were considered as significant at $p < 0.05. \label{eq:posterior}$

Results

Clinical, radiological and histopathological characteristics

All patients included in the study were women. Among 94 patients with 126 IDPs, 74 patients had one IDP, 16 patients had two IDPs, two patients had four IDPs, one patient had five IDPs and one patient had seven IDPs.

The median age of the patients at the time of diagnosis was 51 years, ranging from 29 to 84 years. Fourteen patients (14.8%) had a

 $^{^{\}rm a}$ Fischer's exact test revealed significantly higher upgrade in IDP ≥ 10 mm compared to IDP < 10 mm.

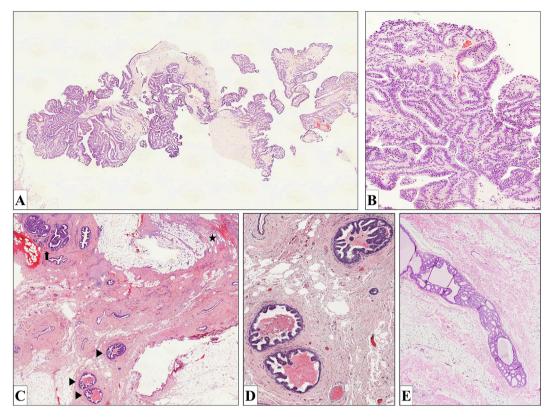


Fig. 1. IDP with Upgrade on Excision (Case 1).

Benign intraductal papilloma (IDP) on breast core needle biopsy (CNB) with upgrade on excision. A—B: Low-power (H&E, x20) and high-power view (H&E, x60) of IDP on CNB. C: Low power view (H&E, x10) of excision specimen. Arrow points the residual IDP, star designates the previous biopsy site and arrow heads point to ductal carcinoma in situ (DCIS). The distance between IDP and DCIS is 3 mm. D: High-power (H&E, x40) view of DCIS with low nuclear grade and micropapillary pattern. E: DCIS with low nuclear grade and cribriform pattern identified in a different section of the excision specimen (H&E, x20).

personal history of P/CBC. In this population of patients with a history of breast cancer, seven patients had a prior history of breast cancer (7.4%) and the remaining seven had concurrent breast cancer (7.4%); each of these groups had five patients with contralateral and two with ipsilateral breast cancer. Patients with P/CBC in the ipsilateral breast was not associated with IDPs and was located in a different quadrant.(Table 1)

Ninety out of 126 (71.4%) CNBs were obtained using ultrasound guidance. One hundred and eight of 126 (85.7%) targeted lesions on radiology were mass, 11 (8.9%) were calcifications, and seven (5.6%) were non-mass enhancements (NME). The median size of the targeted lesions on radiology was 6 mm (range 2–45 mm). One hundred and five of 126 (83.3%) lesions were radiologically <1 cm and 21 (16.9%) of them were \geq 1 cm. The distance between the targeted radiologic lesion and the nipple ranged from 0.5 cm to 11 cm with a median distance of 3 cm.(Table 2)

Microscopically, the IDPs measured from 1 mm to 16 mm with a median size of 3 mm. Using 2 mm and 5 mm size cut-offs revealed 16 (12.7%) IDPs to be < 2 mm, 89 (62.7) IDPs to be 2–5 mm and 21 (24.6%) IDPs to be ≥ 5 mm. Additionally, only three (2.4%) IDPs measured ≥ 10 mm. Excision specimens showed residual IDPs in 95 (75.4%) cases. Two of 126 IDPs diagnosed on CNB were upgraded to DCIS on surgical excision; therefore, the upgrade rate on excision specimens was 1.58%. Additionally, 14 (11.1%) cases showed other atypical lesions (3 ALH, 3 LCIS, 4 ADH, 3 LCIS + ADH, 1 ADH + FEA) on the excision specimen.(Table 3)

Comparison of characteristics of the upgrade and non-upgrade cases

The median age of the patients was 68 years (range 63-73

years) for the upgrade and 51 years (range 29–84 years) for the non-upgrade cases. Of the two patients that were upgraded, one (50%) presented with nipple discharge and had concurrent breast cancer. In patients with no upgrade, six (4.8%) were found to have concurrent cancer and seven (5.6%) had prior breast cancer. Both upgrades occurred in patients with a single papilloma.

The CNBs were done by US guidance in two of two (100%) upgrade cases and 88 of 124 (71%) non-upgrade cases. One upgrade IDP was central (1 cm from the nipple) and the other one was peripheral IDP (11 cm from the nipple). Twenty-nine (23.8%) cases with no upgrade were central and 95 (76.2%) of them were peripheral.

Both upgrade cases showed residual IDPs in the excision specimen and the distance between the residual IDP and DCIS was 3 mm and 8 mm. The nuclear grade of DCIS was low and intermediate with micropapillary and cribriform patterns (Figs. 1 and 2). The median microscopic size of IDPs in the upgrade cases was 6.5 mm while it was 3.0 mm in the non-upgrade cases. The upgrade rate was 33% (1/3) for IDPs > 1 cm and 0.8% (1/123) for IDPs < 1 cm.

Statistical analyses of the clinical, radiologic and histopathologic characteristics revealed the microscopic size of IDP ≥ 1 cm on CNB to be the only statistically significant predictor factor for an upgrade on excision (p = 0.047).

Discussion

Management of IDP without atypia diagnosed on CNB is still controversial due to a wide range of upgrade rates reported in the literature.^{5,23} However, some studies with a high upgrade rate did not give any details about the radiologic-pathologic correlation of

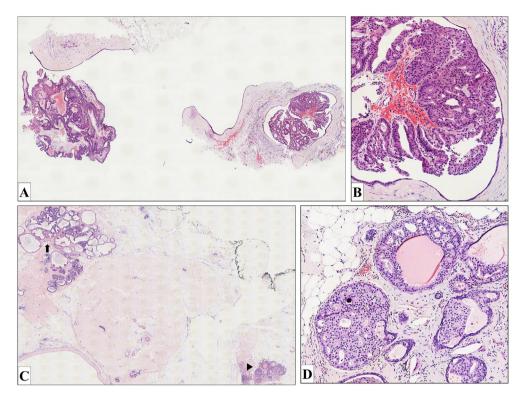


Fig. 2. IDP with Upgrade on Excision (Case 2).
Benign intraductal papilloma (IDP) on breast core needle biopsy (CNB) with upgrade on excision. A—B: Low-power (H&E, x10) and high-power (H&E, x60) view of IDP on CNB. C: Low power view (H&E, x5) of excision specimen. Arrow points the residual IDP and arrow head points ductal carcinoma in situ (DCIS). The distance between IDP and DCIS is 8 mm. D: High-power (H&E, x60) view of DCIS with intermediate nuclear grade and cribriform pattern.

CNB which plays an important role in the decision-making process. ^{14,22} Additionally, recent studies that included only radiologic-pathologic concordant cases reported very low upgrade rates. ^{5,6,9,17} Moreover, Youk et al. divided the cases into two groups based on radiologic-pathologic correlation and reported the upgrade rate as 3.1% for radiologic-pathologic concordant cases and 14% for discordant cases. ¹⁵ In another study, radiologic-pathologic discordance was found to be a significant predictor for an upgrade on excision. ⁷ Therefore, we may clearly state that radiologic-pathologic correlation should be taken into consideration during the management process of the patients as well as in future studies.

Our results showed a very low upgrade rate (1.58%) on the excision of IDP without atypia diagnosed on breast CNB with a radiologic-pathologic concordance. Detailed analysis of the characteristics of the patients or IDPs showed some differences between the upgrade and non-upgrade groups, however, the microscopic size of IDP $\geq\!10$ mm on CNB was the only statistically significant predictor factor for an upgrade on excision. This may be due to a low number of upgrade cases in our cohort.

Both patients with an upgrade in our study were older than 50 years old and the median age of patients with upgrade was higher than those with no upgrade (68 years vs. 55 years); however, this difference was not statistically significant. Similarly, some of the previous studies reported the mean age of patients with upgrade higher than those with no upgrade; furthermore, the majority of patients in these studies were older than 50 years of age. 8,14,15 However, two separate studies reported patients with upgrade who were younger than 50 years old. 9,10

Two patients, one with upgrade, had concurrent ipsilateral cancer in different quadrant, however, this was not a statistically significant predictor for the upgrade, most likely due to the low number of patients with an upgrade in our study. However, in two

studies, the history of concurrent ipsilateral breast cancer and concurrent contralateral breast cancer were found to be significant predictor factors for having an upgrade on excision.^{5,9} Therefore, a special attention should be given to patients with concurrent breast cancer.

Most of the CNBs including both cases with an upgrade was performed by ultrasound guidance and the target was confirmed to be a mass in the majority. We were unable to identify a radiologic characteristic to predict upgrade on excision. Regarding the mass size on radiology, the upgrade rate was found to be significantly higher in lesions ≥ 10 mm and > 20 mm in two different studies. Additionally, a mass lesion on radiology as well as a palpable mass as a symptom were reported as predictive factors for an upgrade on excision in one of the studies. 24

Analyses of histologic characteristics of CNB and excision specimens showed IDP with a size of $\geq \! 10$ mm as the only predictive factor for an upgrade on excision. Additionally, fragmentation and microcalcifications on CNB were also identified more commonly in cases with upgrade than those with no upgrade, however, the differences did not reach to a statistically significant level. Similar results were also reported in two different studies. In an analysis of 370 IDP cases, Xin Li et al. reported the presence of microcalcifications simultaneously on both CNB and imaging as a predictive factor for an upgrade on excision. Pareja et al. analyzed 174 cases of IDP and described the fragmentation of IDP on CNB as a predictive factor for an upgrade on excision.

All of the histologic slides of CNB and excision specimens were reviewed by a breast pathologist in our study. Jakate et al. analyzed the correlation between CNB and excision diagnoses for papillary breast lesions according to pathologists' expertise in breast pathology. The correlation was found significantly greater for breast pathologists than for non-breast pathologists.²⁵ Therefore, an

accurate classification of papillary breast lesions plays an essential role in the management plan of patients.

Our study has some limitations. It was difficult to reach to a statistically significant result on comparison of upgrade and non-upgrade cases because the upgrade group consisted of only two cases. We did not have any follow-up information for patients who did not have a surgery in our health system or who never had a surgical excision, which creates a possibility of selection bias that might have occurred in our study as well as prevents us to get an idea about long term behavior of these lesions if they do not get excised.

In conclusion, we found a very low upgrade rate on the excision of IDP without atypia diagnosed on CNB with a radiologic-pathologic concordance similar to recent studies. Our findings suggest that close clinical and radiologic follow-up may be a reasonable option for the majority of these patients in routine practice.

Declaration of competing interest

This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors. The authors disclose that they have no significant relationships with, or financial interest in, any commercial companies pertaining to this article.

References

- Lakhani SR, Ellis IO, Schnitt SJ, et al. WHO Classification of Tumours of the Breast. Lyon: International Agency for Research on Cancer; 2014.
- Rosen P, Hoda S, Dershaw D, et al. Breast Pathology. Philadelphia: Lippincott Williams & Wilkins; 2006.
- 3. Dabbs DJ. *Breast Pathology*. Elsevier Health Sciences; 2016.
- Ueng SH, Mezzetti T, Tavassoli FA, et al. Papillary neoplasms of the breast: a review. Arch Pathol Lab Med. 2009:133:893-907.
- Pareja F, Corben AD, Brennan SB, et al. Breast intraductal papillomas without atypia in radiologic-pathologic concordant core-needle biopsies: rate of upgrade to carcinoma at excision. *Cancer*. 2016;122:2819–2827.
- Nayak A, Carkaci S, Gilcrease MZ, et al. Benign papillomas without atypia diagnosed on core needle biopsy: experience from a single institution and proposed criteria for excision. Clin Breast Canc. 2013;13:439–449.
- 7. Nakhlis F, Ahmadiyeh N, Lester S, et al. Papilloma on core biopsy: excision vs.

- observation. Ann Surg Oncol. 2015;22:1479-1482.
- Li X, Weaver O, Desouki MM, et al. Microcalcification is an important factor in the management of breast intraductal papillomas diagnosed on core biopsy. *Am J Clin Pathol*. 2012;138:789–795.
- 9. Han SH, Kim M, Chung YR, et al. Benign intraductal papilloma without atypia on core needle biopsy has a low rate of upgrading to malignancy after excision. *J Breast Cancer*. 2018;21:80–86.
- Ko D, Kang E, Park SY, et al. The management strategy of benign solitary intraductal papilloma on breast core biopsy. Clin Breast Canc. 2017;17: 367–372.
- 11. Ahmadiyeh N, Stoleru MA, Raza S, et al. Management of intraductal papillomas of the breast: an analysis of 129 cases and their outcome. *Ann Surg Oncol.* 2009;16:2264–2269.
- 12. Weisman PS, Sutton BJ, Siziopikou KP, et al. Non—mass-associated intraductal papillomas: is excision necessary? *Hum Pathol*. 2014;45:583–588.
- Tatarian T, Sokas C, Rufail M, et al. Intraductal papilloma with benign pathology on breast core biopsy: to excise or not? Ann Surg Oncol. 2016;23:2501–2507.
- Rizzo M, Linebarger J, Lowe MC, et al. Management of papillary breast lesions diagnosed on core-needle biopsy: clinical pathologic and radiologic analysis of 276 cases with surgical follow-up. J Am Coll Surg. 2012;214:280–287.
- Youk JH, Kim EK, Kwak JY, et al. Benign papilloma without atypia diagnosed at US-guided 14-gauge core-needle biopsy: clinical and US features predictive of upgrade to malignancy. Radiology. 2011;25:81–88.
- Jaffer S, Bleiweiss IJ, Nagi C, et al. Incidental intraductal papillomas (< 2 mm) of the breast diagnosed on needle core biopsy do not need to be excised. Breast J. 2013;19:130–133.
- Swapp RE, Glazebrook KN, Jones KN, et al. Management of benign intraductal solitary papilloma diagnosed on core needle biopsy. *Ann Surg Oncol*. 2013;20: 1900–1905
- Tseng HS, Chen YL, Chen S, et al. The management of papillary lesion of the breast by core needle biopsy. Eur J Surg Oncol. 2009;35:21–24.
- Holley SO, Appleton CM, Farria DM, et al. Pathologic outcomes of nonmalignant papillary breast lesions diagnosed at imaging-guided core needle biopsy. Radiology. 2012;265:379–384.
- Jung SY, Kang HS, Kwon Y, et al. Risk factors for malignancy in benign papillomas of the breast on core needle biopsy. World J Surg. 2010;34:261–265.
- Chang JM, Han W, Moon WK, et al. Papillary lesions initially diagnosed at ultrasound-guided vacuum-assisted breast biopsy: rate of malignancy based on subsequent surgical excision. Ann Surg Oncol. 2011;18:2506–2514.
- 22. Bode MK, Rissanen T, Apaja-Sarkkinen M. Ultrasonography-guided core needle biopsy in differential diagnosis of papillary breast tumors. *Acta Radiol*. 2009;50: 722–729
- Gendler Leah S. Association of breast cancer with papillary lesions identified at percutaneous image-guided breast biopsy. Am J Surg. 2004;188(4):365–370.
- 24. Jung SY, Kang HS, Kwon Y, et al. Risk factors for malignancy in benign papilomas of the breast on core needle biopsy. *World J Surg.* 2010;34:261–265.
- Jakate K, De Brot M, Goldberg F, et al. Papillary lesions of the breast: impact of breast pathology subspecialization on core biopsy and excision diagnoses. Am J Surg Pathol. 2012;36:544–551.